

## Early Stage Investigator Lecture

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# Powered by Numbers: Leveraging Epidemiology to Foster Prevention of Traumatic Brain Injury- related Sequelae



Presented by:  
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# Powered by Numbers: Leveraging Epidemiology to Foster the Prevention of Traumatic Brain Injury-Related Sequelae

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# Disclosures

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- ▶ Associate Editor for Methodology and Statistics at *Neurology*

# Outline

- ▶ Traumatic Brain Injury (TBI) Epidemiology: Associations with Long-Term Outcomes
- ▶ Cognitive Trajectories and Cognitive Outcomes in the Early Post-TBI Time-Period
- ▶ Cognitive Trajectories and Dementia Risk in the Late Post-TBI Time-Period
- ▶ Biomarkers and Associations of TBI with Dementia Risk: Insights into Disease Mechanism

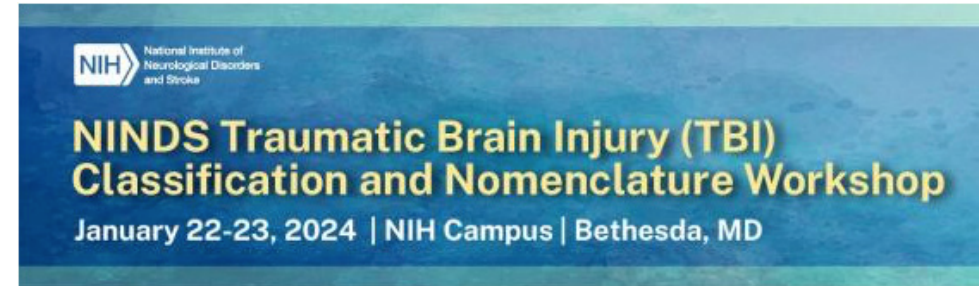
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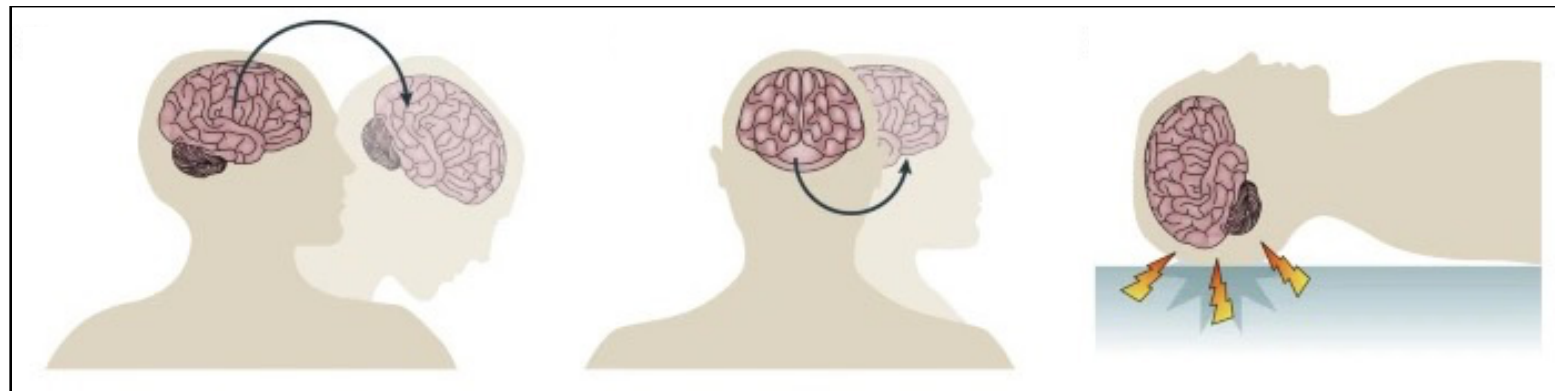
# Definition of Traumatic Brain Injury

## ► Evolving definition:

- Concussion, Mild, Moderate, Severe
- CBI-M: Clinical/Symptoms, Blood-based Biomarkers, Imaging, Psychosocial and Environmental Modifiers



- **Traumatic brain injury** is an injury caused by a force to the head that results in injury that is apparent on neuroimaging and/or that results in neurologic symptoms, such as loss of consciousness, post-traumatic amnesia, and/or altered mental status.



# Global Epidemiology of Traumatic Brain Injury

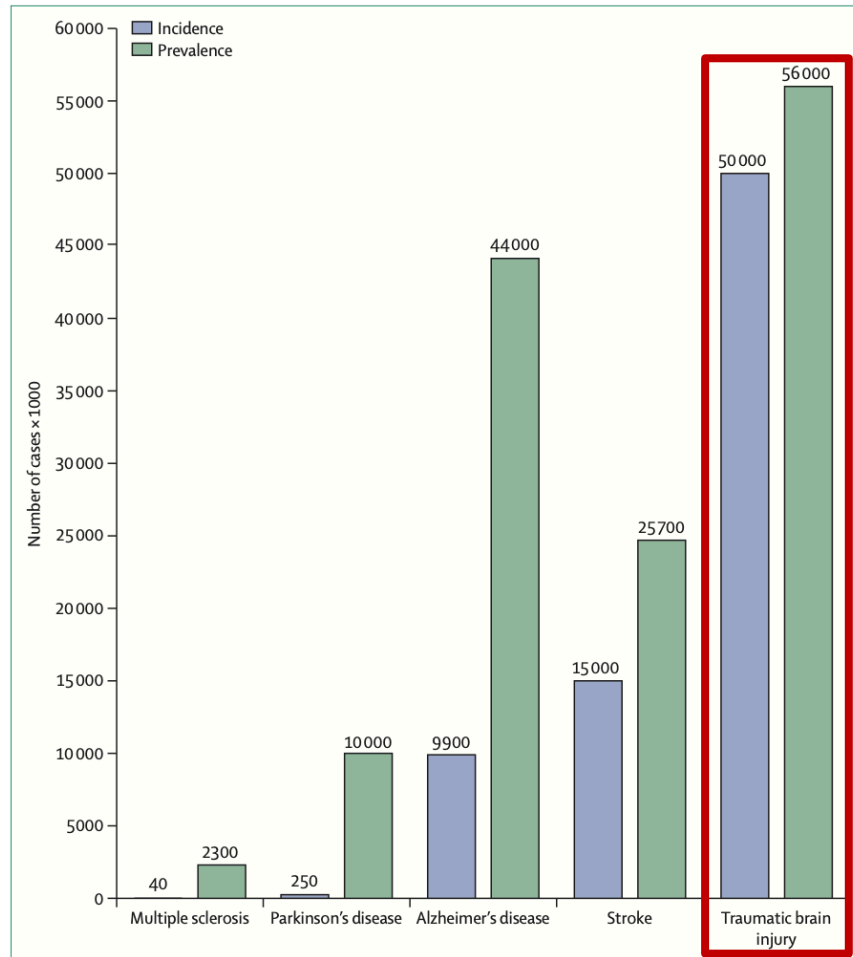
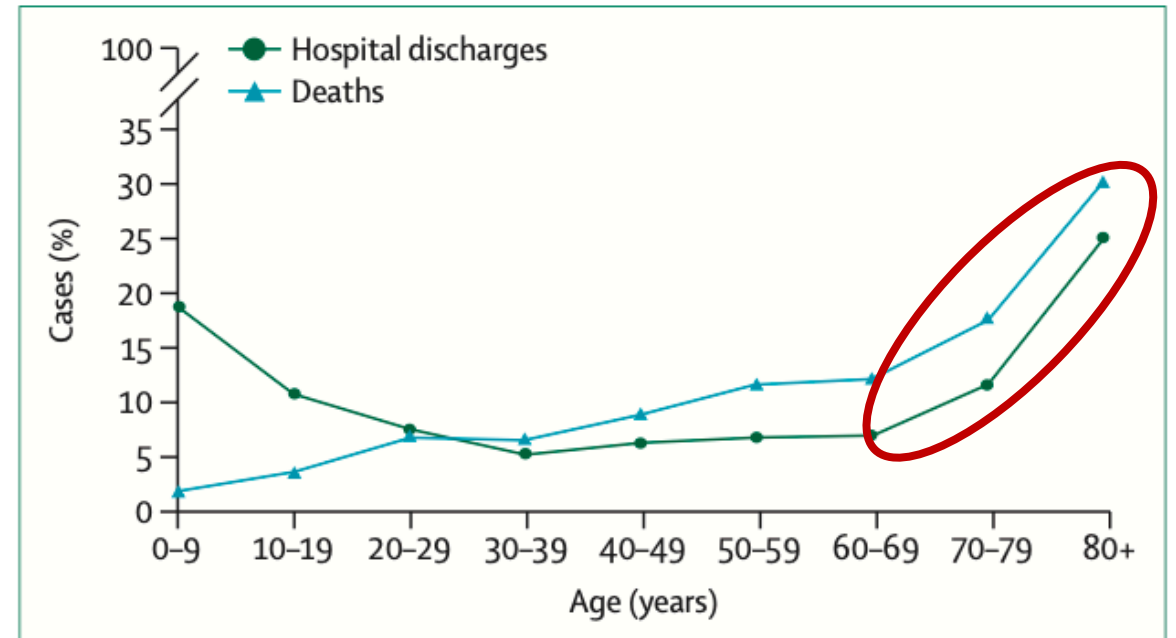


Figure 1: Global incidence and prevalence of traumatic brain injury compared with other common neurological diseases



Lancet Neurol 2022;  
21: 1004-60

# 2022 *Lancet Neurology* Commission on Traumatic Brain Injury

## The Lancet Neurology Commissions



### Traumatic brain injury: progress and challenges in prevention, clinical care, and research

*Andrew I R Maas\*, David K Menon\*, Geoffrey T Manley\*, Mathew Abrams, Cecilia Åkerlund, Nada Andelic, Marcel Aries, Tom Bashford, Michael J Bell, Yelena G Bodien, Benjamin L Brett, András Büki, Randall M Chesnut, Giuseppe Citerio, David Clark, Betony Clasby, D Jamie Cooper, Endre Czeiter, Marek Czosnyka, Kristen Dams-O'Connor, Véronique De Keyser, Ramon Diaz-Arrastia, Ari Ercole, Thomas A van Essen, Éanna Falvey, Adam R Ferguson, Anthony Figaji, Melinda Fitzgerald, Brandon Foreman, Dashiell Gantner, Guoyi Gao, Joseph Giacino, Benjamin Gravesteijn, Fabian Guiza, Deepak Gupta, Mark Gurnell, Juanita A Haagsma, Flora M Hammond, Gregory Hawryluk, Peter Hutchinson, Mathieu van der Jagt, Sonia Jain, Swati Jain, Ji-yao Jiang, Hope Kent, Angelos Kolias, Erwin J O Kompanje, Fiona Lecky, Hester F Lingsma, Marc Maegele, Marek Majdan, Amy Markowitz, Michael McCrea, Geert Meyfroidt, Ana Mikolić, Stefania Mondello, Pratik Mukherjee, David Nelson, Lindsay D Nelson, Virginia Newcombe, David Okonkwo, Matej Orešič, Wilco Peul, Dana Pisciă, Suzanne Polinder, Jennie Ponsford, Louis Puybasset, Rahul Raj, Chiara Robba, Cecilie Røe, Jonathan Rosand, Peter Schueler, David J Sharp, Peter Smielewski, Murray B Stein, Nicole von Steinbüchel, William Stewart, Ewout W Steyerberg, Nino Stocchetti, Nancy Temkin, Olli Tenovuo, Alice Theadom, Ilias Thomas, Abel Torres Espin, Alexis F Turgeon, Andreas Unterberg, Dominique Van Praag, Ernest van Veen, Jan Verheyden, Thijs Vande Vyvere, Kevin K W Wang, Eveline J A Wieggers, W Huw Williams, Lindsay Wilson, Stephen R Wisniewski, Alexander Younsi, John K Yue, Esther L Yuh, Frederick A Zeiler, Marina Zeldovich, Roger Zemek, for the InTBIR Participants and Investigators†‡*

**Lancet Neurol 2022;**  
**21: 1004–60**

Published Online  
September 29, 2022





# 2022 *Lancet Neurology* Commission on Traumatic Brain Injury

## Main messages

(1) Worldwide, TBI is a leading cause of injury-related death and disability, with devastating effects on patients and their families.

(2) Wide variations exist in global estimates of TBI incidence and in reported incidence, prevalence, and mortality rates between regions and countries. Variations in approaches to data capture and interpretation probably contribute to these variations, confounding comparisons.

(3) More than 90% of patients presenting to hospital with TBI have mild TBI, but there is little evidence to inform treatment of patients with mild TBI.

(4) In HICs, older patients ( $\geq 65$  years) who are mostly injured by falls account for 30–40% of hospital admissions for TBI. Frailty and alcohol abuse contribute to falls causing TBI in older people.

(5) People in LMICs are disproportionately affected by TBI, with most injuries caused by road traffic incidents. There are substantial disparities in care, with little infrastructure for emergency pre-hospital care and very little access to post-acute care.

(6) Although there is a strong focus on the risk of sport-related concussion and repetitive head impacts in team sports, most patients seen in hospital with sport-related concussion have sustained the injury during individual sports or recreational activities.

(7) TBI and criminal offending are closely and bidirectionally related. TBI associated with intimate partner violence affects women more commonly and is associated with worse outcomes compared with other interpersonal violence.

*Lancet Neurol* 2022;  
21: 1004–60

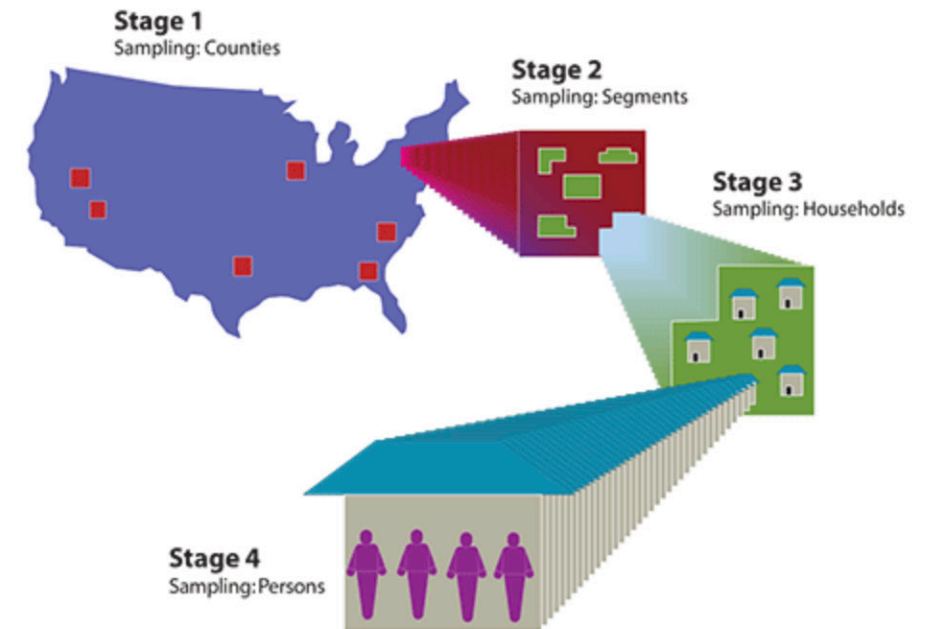
Published Online  
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# Traumatic Brain Injury Epidemiology: Associations with Long-term Outcomes

- ▶ How do we obtain nationally representative estimates of the burden of traumatic brain injury and its outcomes?
- ▶ How can we study long-term outcomes after traumatic brain injury when very few studies designed to study traumatic brain injury starting at the time of injury have long-term follow-up (i.e., 20-30+ years)?
- ▶ How can we gain insights into disease mechanism which can be used to inform potential future treatments aimed at preventing traumatic brain injury sequelae?
- ▶ **Leverage data from ongoing, deeply phenotyped epidemiologic studies**
  - National Health and Nutrition Examination Survey (NHANES)
  - Atherosclerosis Risk in Communities (ARIC) Study
  - Many other existing epidemiologic studies with opportunities to study traumatic brain injury

# National Health and Nutrition Examination Survey (NHANES)

- ▶ NHANES is series of cross-sectional surveys conducted yearly (starting in 1999) by the National Center for Health Statistics of the Centers for Disease Control and Prevention
- ▶ Participants are selected using a stratified multi-stage probability sampling design of the noninstitutionalized civilian U.S. population
- ▶ Survey weights to account for the complex NHANES sampling design make the estimates reported nationally representative of this general U.S. population
- ▶ Traumatic brain injury was assessed among individuals aged 40 years or older during the 2011-2012 and 2013-2014 survey cycles using the question, “Have you ever had a loss of consciousness because of a head injury?”



# Atherosclerosis Risk in Communities (ARIC) Study



Hospitalization, and Centers for Medicare/Medicaid Surveillance – ICD-9/10 Codes for TBI

Continuous Death, Stroke, Coronary Heart Disease, Heart Failure, Dementia Adjudication

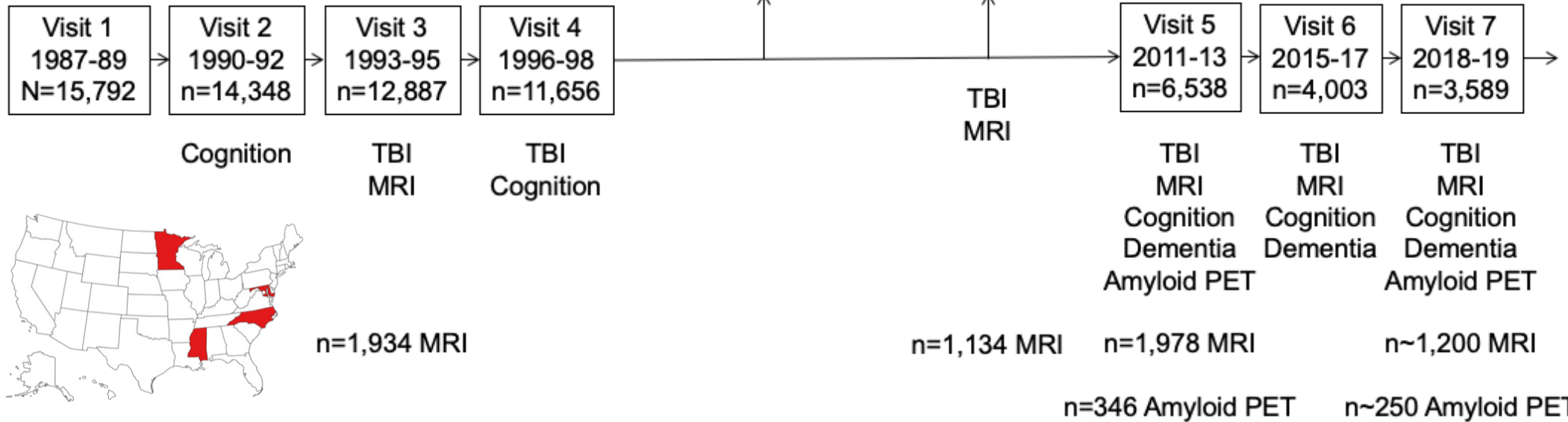
Annual and Semi-Annual Telephone Follow-up Calls

*On-going community-based prospective cohort of 15,792 middle-aged adults (aged 45-64 at baseline) from 4 U.S. communities*

Carotid MRI Visit  
2004-2005  
n=2,066

Brain MRI Visit  
2004-2006  
n=1,134

- *Low loss to follow-up over 30+ years: <1-2%*
- *Death over 30+ years: ~55%*



# ARIC Study Traumatic Brain Injury Definition



## Visit-Based Self-Report Questions


<p><b>ARIC Visit 3 (1993-1995)</b></p> <ol style="list-style-type: none"> <li>1. Have you ever had a head injury which led you to see a physician or seek hospital care?</li> <li>2. How many times has this happened?</li> <li>3. How many of these head injuries resulted in your losing consciousness, no matter how briefly?</li> <li>4. In what year was your head injury for which you sought medical care?</li> </ol>
<p><b>ARIC Visit 4 (1996-1998)</b></p> <ol style="list-style-type: none"> <li>1. Have you ever had a major head injury? That is, one that resulted in your losing consciousness, no matter how briefly, or that led you to see a physician or seek hospital care?</li> <li>2. How many times has this happened?</li> <li>3. How many head injuries resulted in your losing consciousness, no matter how briefly?</li> <li>4. In what year was your head injury for which you lost consciousness sought medical care?</li> </ol>
<p><b>ARIC Brain MRI Visit (2004-2006)*</b></p> <ol style="list-style-type: none"> <li>1. Have you ever had a head injury that resulted in loss of consciousness (knocked out)?</li> <li>2. How many times?</li> <li>3. In what year or how old were you when this first occurred?</li> <li>4. In what year or how old were you when this last occurred?</li> </ol>
<p><b>ARIC Visit 5 (2011-2013)*</b></p> <ol style="list-style-type: none"> <li>1. Have you ever had a head injury that resulted in loss of consciousness?</li> <li>2. Have you had a head injury with extended loss of consciousness (&gt;5 minutes)?</li> <li>3. Have you had a head injury that resulted in long-term problems or dysfunction?</li> </ol>
<p><b>ARIC Visit 6 (2016-2017)</b></p> <ol style="list-style-type: none"> <li>1. Have you ever had a head injury that resulted in loss of consciousness?</li> <li>2. Have you had a head injury with extended loss of consciousness (&gt;5 minutes)?</li> <li>3. Have you had a head injury that resulted in long-term problems or dysfunction?</li> </ol>
<p><b>ARIC Visit 7 (2018-2019)</b></p> <ol style="list-style-type: none"> <li>1. Have you ever had a head injury that resulted in loss of consciousness?</li> <li>2. Have you had a head injury with extended loss of consciousness (&gt;5 minutes)?</li> <li>3. Have you had a head injury that resulted in long-term problems or dysfunction?</li> </ol>

\*Questions asked in a subgroup of ARIC participants selected for brain magnetic resonance imaging scans.


## Continuously Collected ICD-9/10 Codes

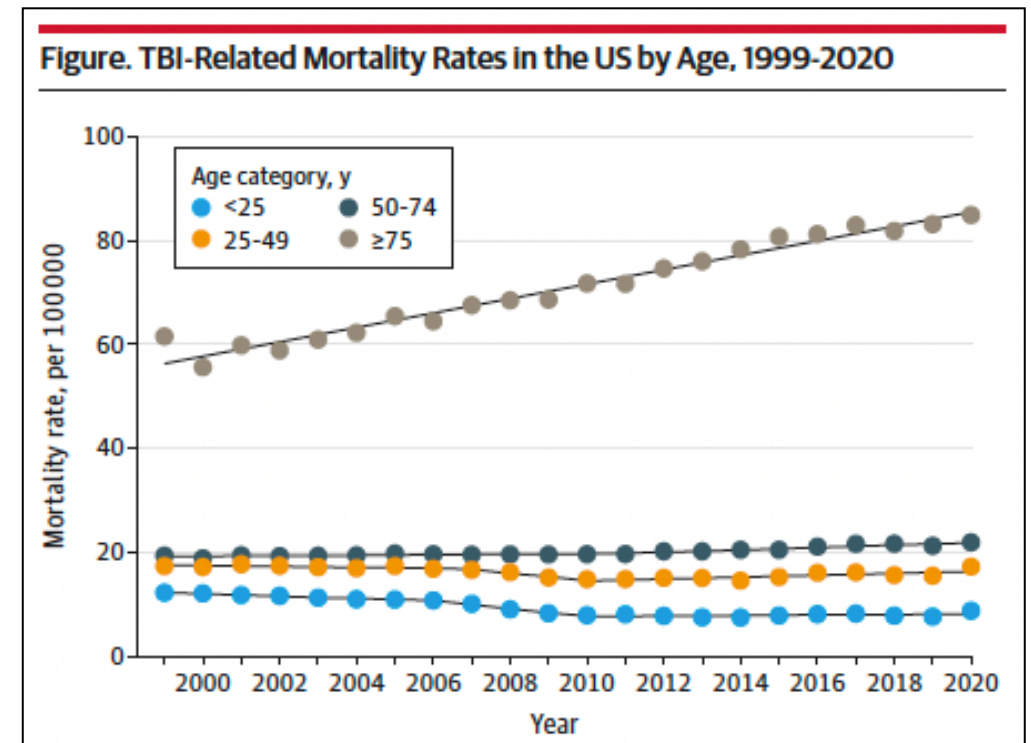
ICD-9 Codes	
800.xx	Fracture of vault of skull
801.xx	Fracture of base of skull
803.xx	Other and unqualified skull fractures
804.xx	Multiple fractures involving skull or face with other bones
850.xx	Concussion
851.xx	Cerebral laceration and contusion
852.xx	Subarachnoid, subdural, and extradural hemorrhage following injury
853.xx	Other and unspecified intracranial hemorrhage following injury
854.xx	Intracranial injury of other and unspecified nature
959.01	Head injury, unspecified
ICD-10 Codes	
S02.0	Fracture of vault of skull
S02.1X	Fracture of base of skull
S02.8	Fractures of other unspecified skull and facial bones
S02.91	Unspecified fracture of skull
S04.02	Injury of optic chiasm
S04.03X	Injury of optic tract and pathways
S04.04X	Injury of visual cortex
S06.X	Intracranial injuries, concussion, traumatic cerebral edema, diffuse and focal traumatic brain injury, traumatic epidural, subdural, and subarachnoid hemorrhage
S07.1	Crushing injury of skull

# Epidemiology of Traumatic Brain Injury in the U.S.

 **NEJM** ✓ @NEJM · 2h

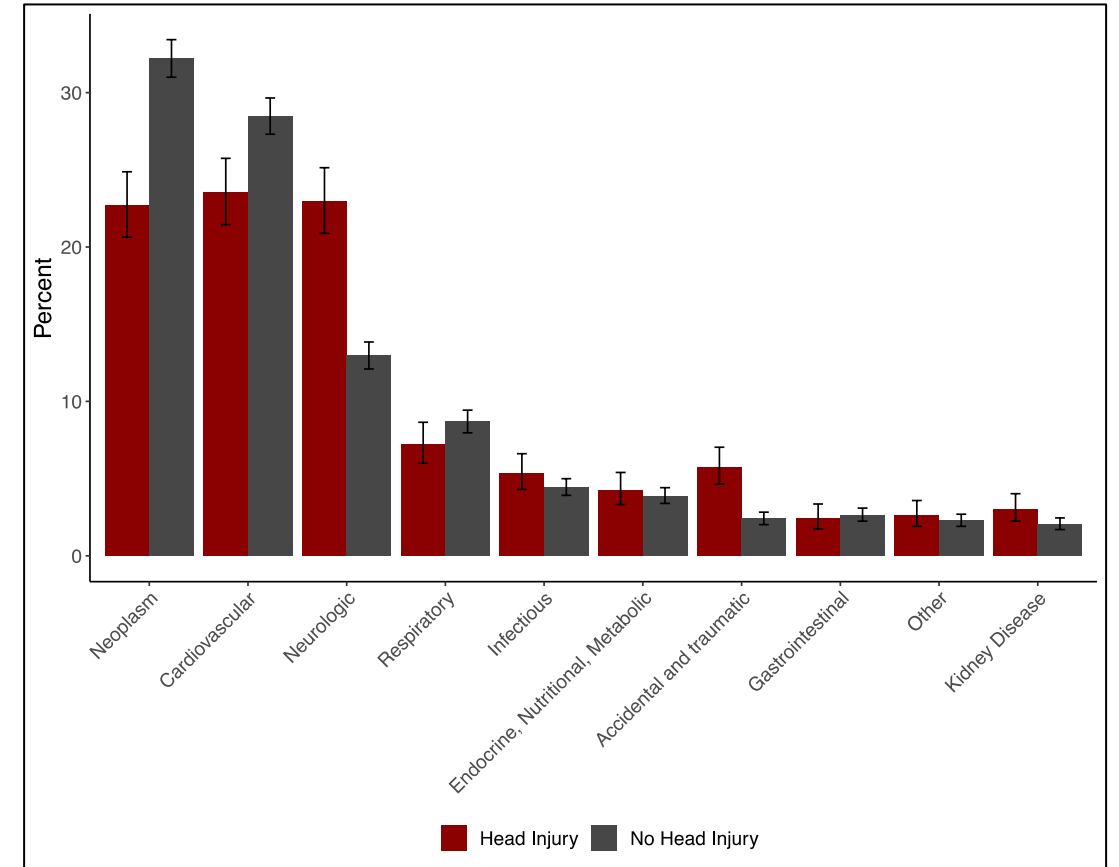
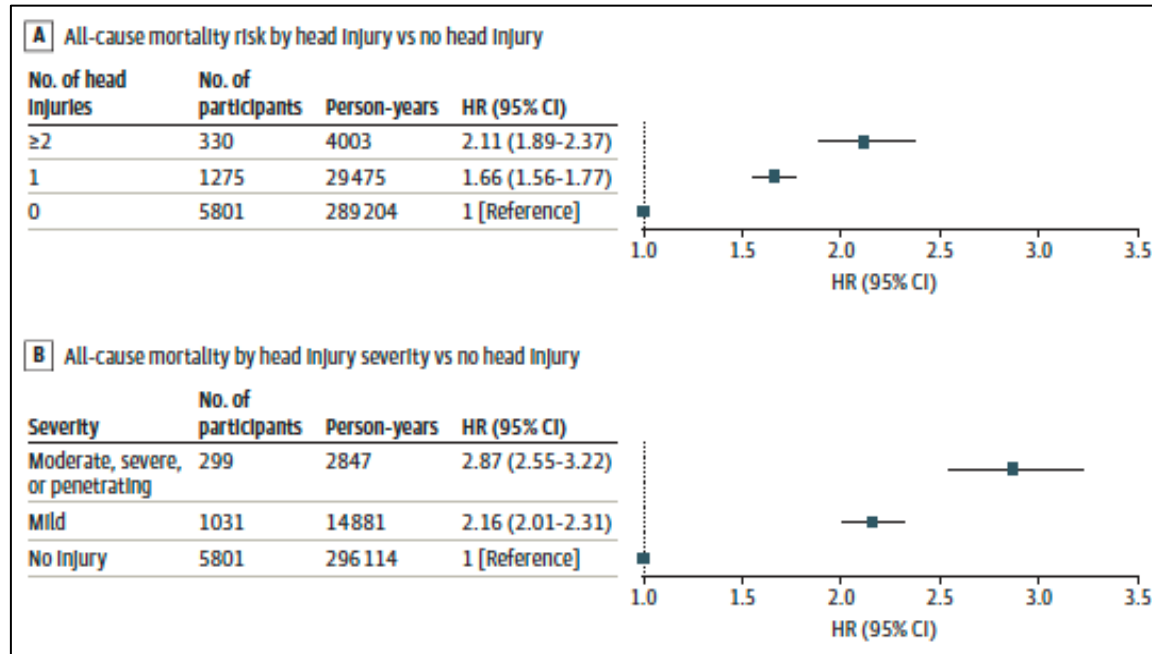
In a survey of adults 40 years of age or older from the 2011–2014 National Health and Nutrition Examination Survey (NHANES) cohort, the prevalence of recalled head injury was 15.7%. See the full survey results:

 **NEJM** Prevalence of Self-Reported Head Injury in the United S...  
[nejm.org](https://www.nejm.org)



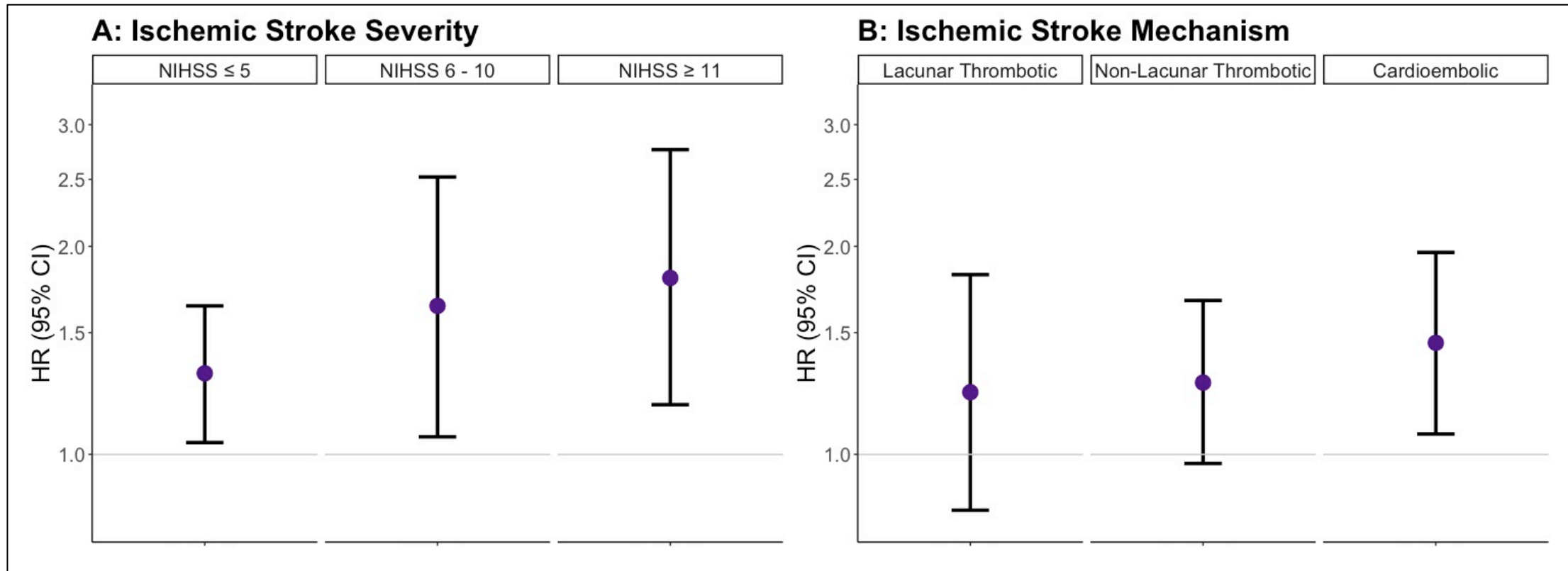
# Long-term Risk of Mortality After Traumatic Brain Injury

- ▶ TBI was associated with 2 times the risk of mortality over a median of 28 years



# Traumatic Brain Injury and Stroke Risk

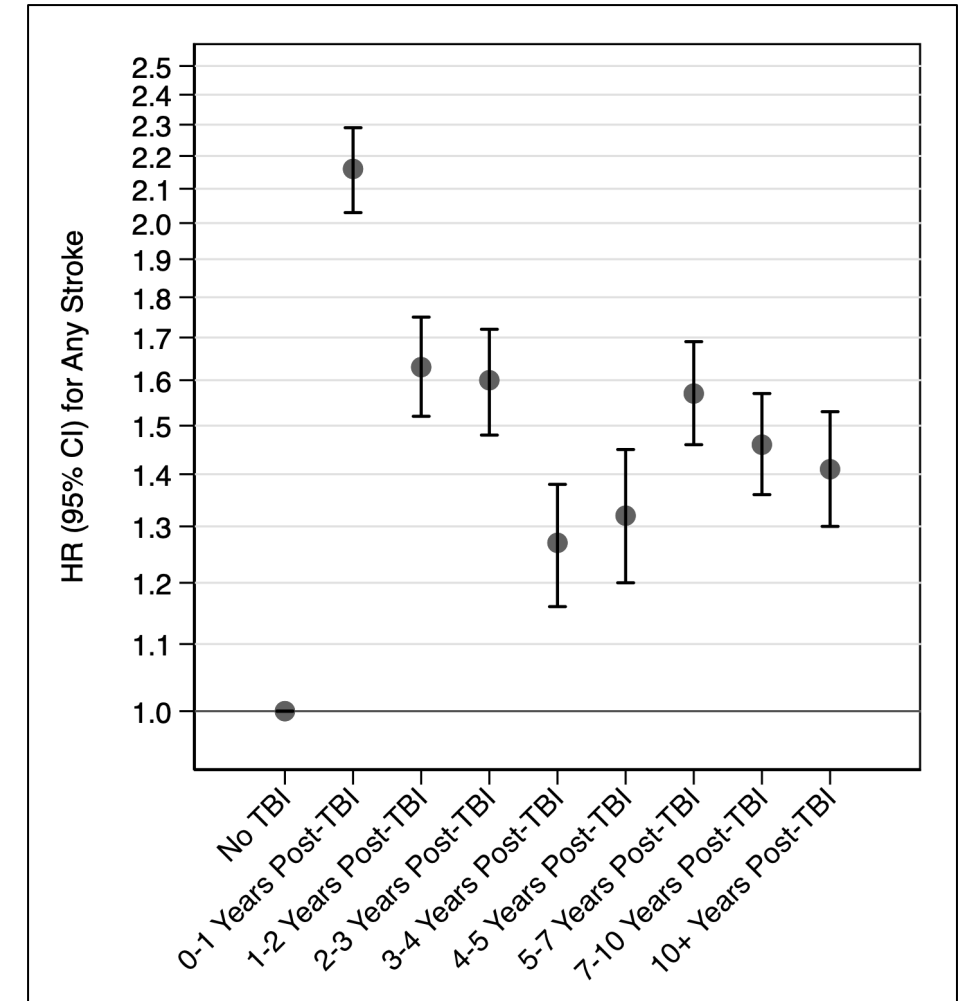
- ▶ Traumatic brain injury was associated with 1.3 times the risk of incident ischemic stroke over a median of 27 year of follow-up



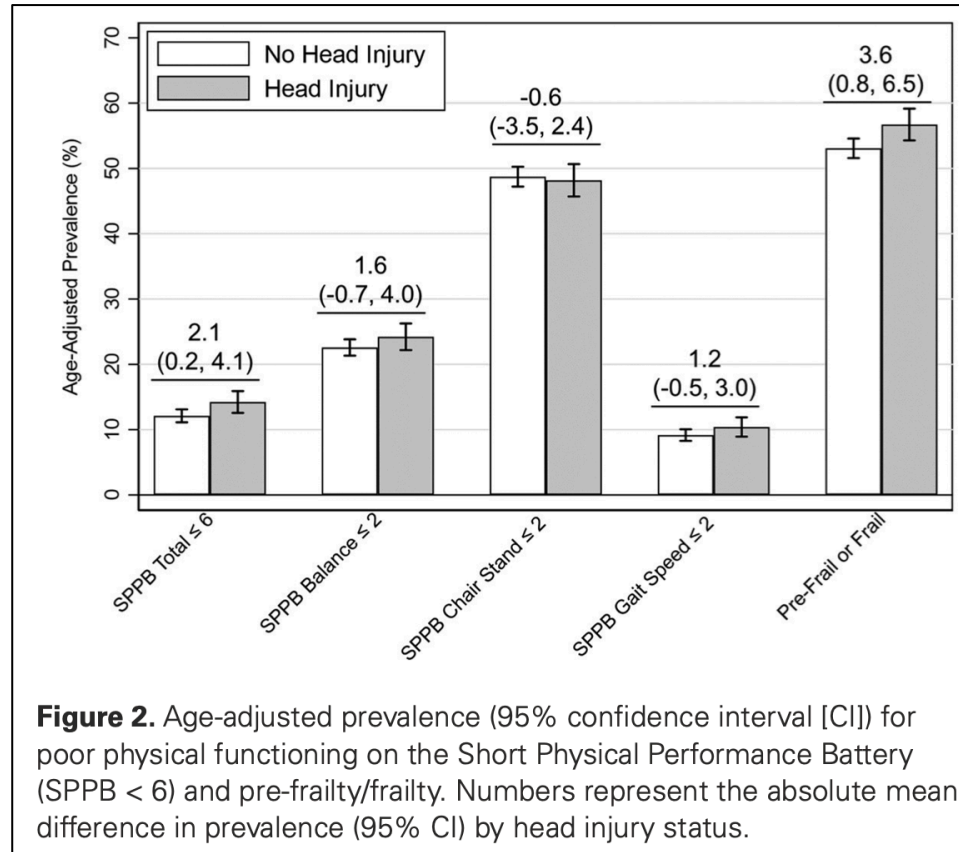


# Traumatic Brain Injury and Stroke Risk

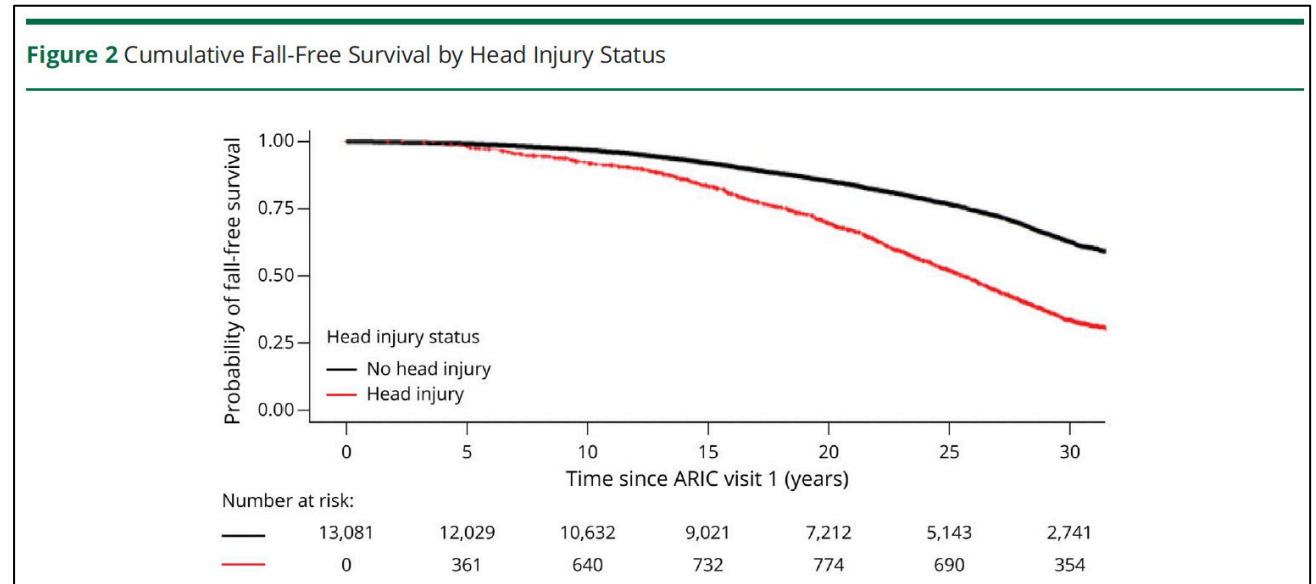
- ▶ Among U.S. military veterans aged 18+ years receiving healthcare in the Veterans Health Administration system, traumatic brain injury was associated with 1.7 times the risk of incident stroke
- ▶ This risk was highest in the first-year post-injury, but remained elevated for over 10 years post-injury
- ▶ The association of traumatic brain injury with hemorrhagic stroke was stronger than the association of traumatic brain injury with ischemic stroke



# Traumatic Brain Injury, Physical Functioning, Frailty, and Fall Risk



- ▶ Traumatic brain injury was associated with 1.7 times the risk of fall over a median of 23 years of follow-up

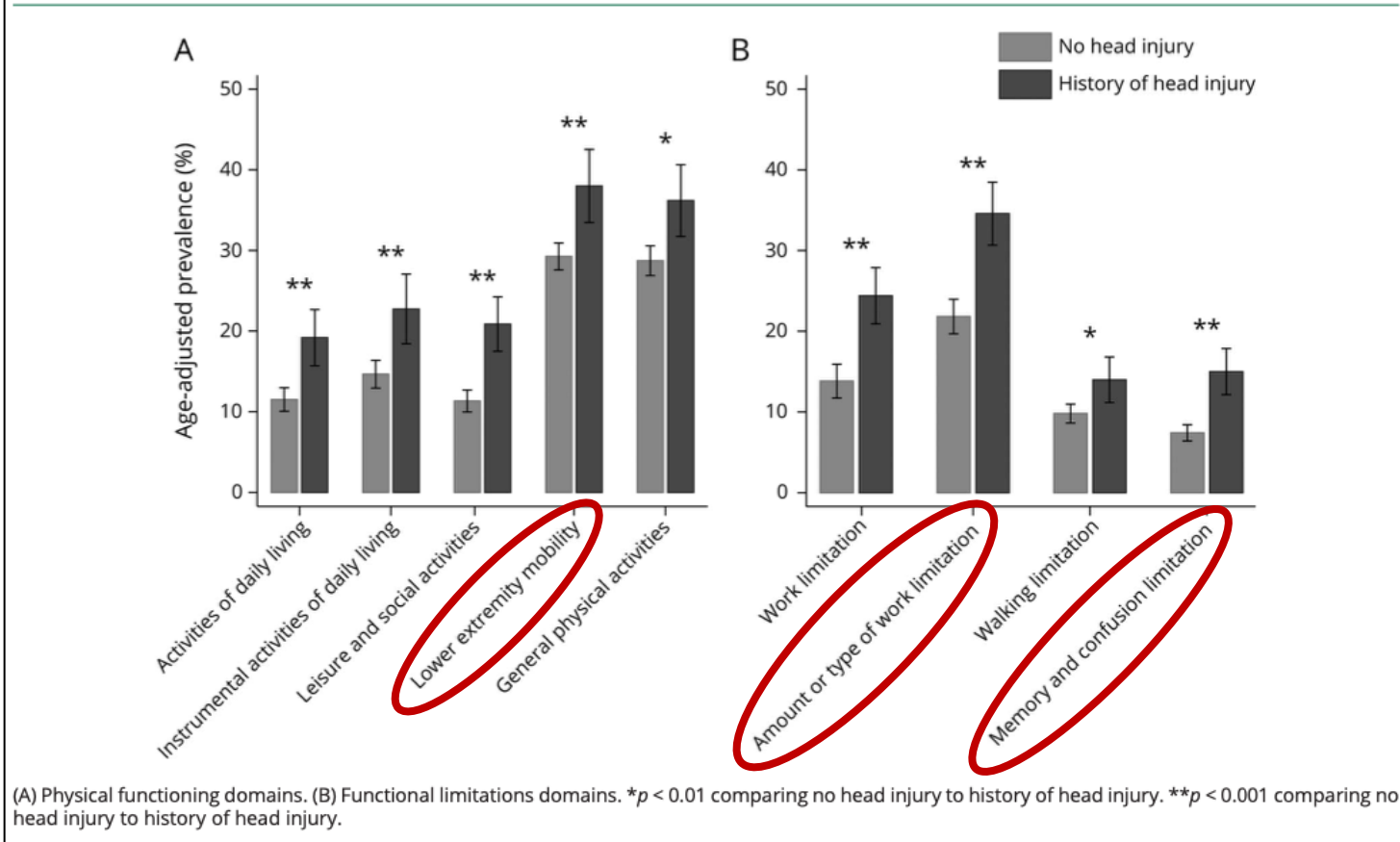


- Hunzinger K, Walter AE, Rosenthal KA, Windham BG, Palta P, Juraschek SP, Hicks C, Gottesman RF, **Schneider ALC**. Associations Between Prior Head Injury, Physical Functioning, and Frailty in the Atherosclerosis Risk in Communities (ARIC) Study. *Journal of Gerontology: Medical Sciences*. 2024 Apr 1;79(4):glae032. doi: 10.1093/gerona/glae032.

- Hunzinger K, Law CA, Elser H, Walter AE, Windham BG, Palta P, Juraschek SP, Hicks CW, Gottesman RF, **Schneider ALC**. Associations Between Head Injury and Subsequent Risk of Falls: Results from the Atherosclerosis Risk in Communities (ARIC) Study. *Neurology*. Neurology. 2023 Nov 27;101(22):e2234-e2242.

# Prevalence of Disability Associated with Traumatic Brain Injury

**Figure 2** Age-Adjusted Prevalence (95% confidence interval) of Disability by History of Head Injury With Loss of Consciousness



- ▶ Individuals with prior traumatic brain injury had higher prevalence of disability compared to individuals without traumatic brain injury (47% versus 39%)



# 2020 Lancet Commission on Dementia

**The Lancet Commissions**

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**Dementia prevention, intervention, and care: 2020 report of the Lancet Commission**

Gill Livingston, Jonathan Huntley, Andrew Sommerlad, David Ames, Clive Ballard, Sube Banerjee, Carol Brayne, Alistair Burns, Jiska Cohen-Mansfield, Claudia Cooper, Sergi G Costafreda, Amit Dias, Nick Fox, Laura N Gitlin, Robert Howard, Helen C Kales, Mika Kivimäki, Eric B Larson, Adesola Ogunniyi, Vasiliki Orgeta, Karen Ritchie, Kenneth Rockwood, Elizabeth L Sampson, Quincy Samus, Lon S Schneider, Geir Selbaek, Linda Teri, Nasheed Mukadam

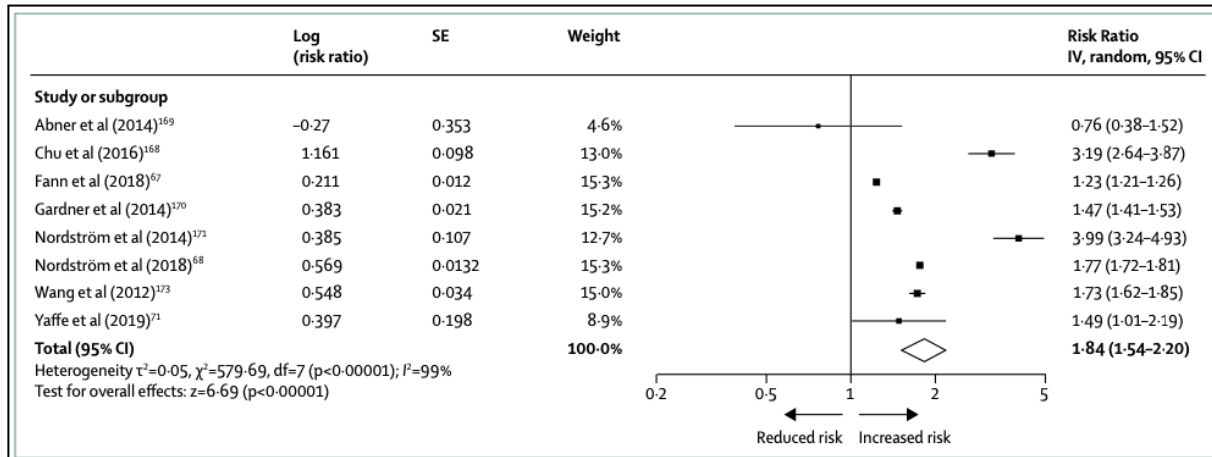


Figure 6: Meta-analysis of relative risk of all-cause dementia associated with all severity midlife traumatic brain injury

- ▶ Traumatic brain injury was designated as a potentially modifiable risk factor for dementia

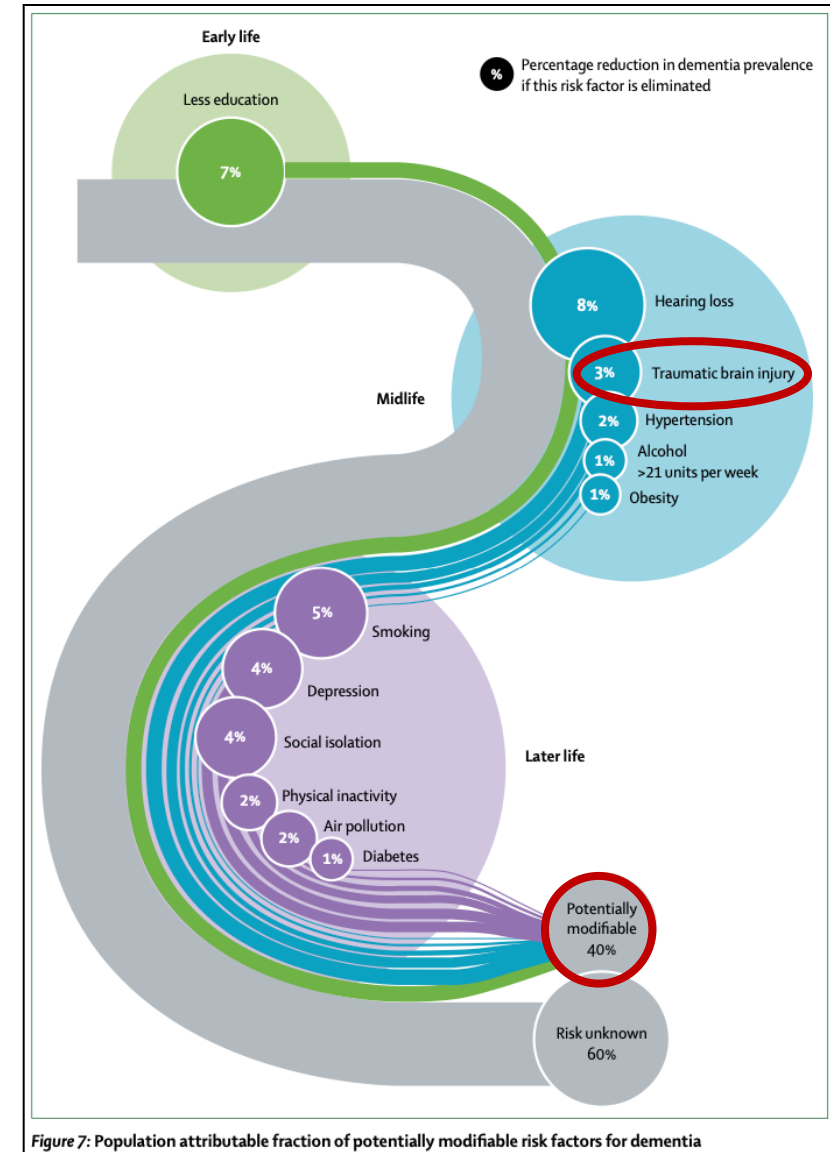
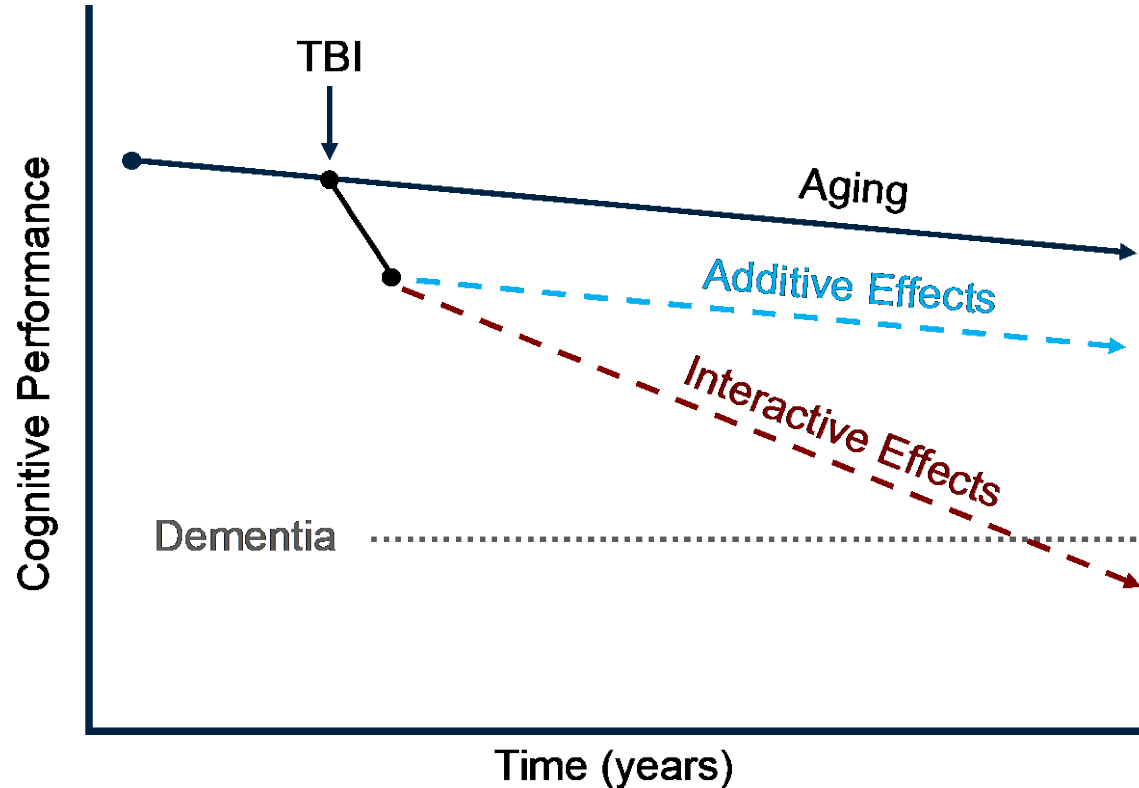


Figure 7: Population attributable fraction of potentially modifiable risk factors for dementia

# Cognitive Trajectories After Traumatic Brain Injury (1)

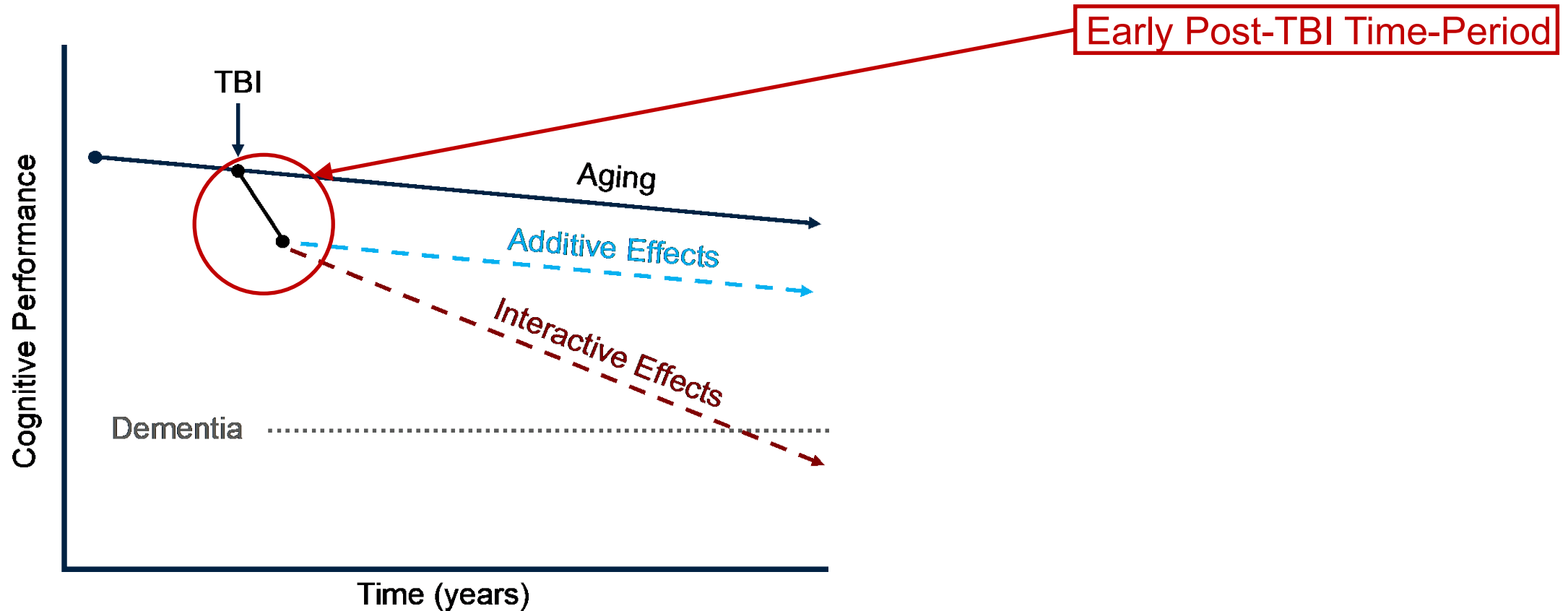


- ▶ **Additive effects trajectory:** initial accelerated cognitive decline followed by a parallel rate of subsequent aging over time
- ▶ **Interactive effects trajectory:** initial accelerated cognitive decline followed by a continued accelerated rate of cognitive decline over time

# Outline

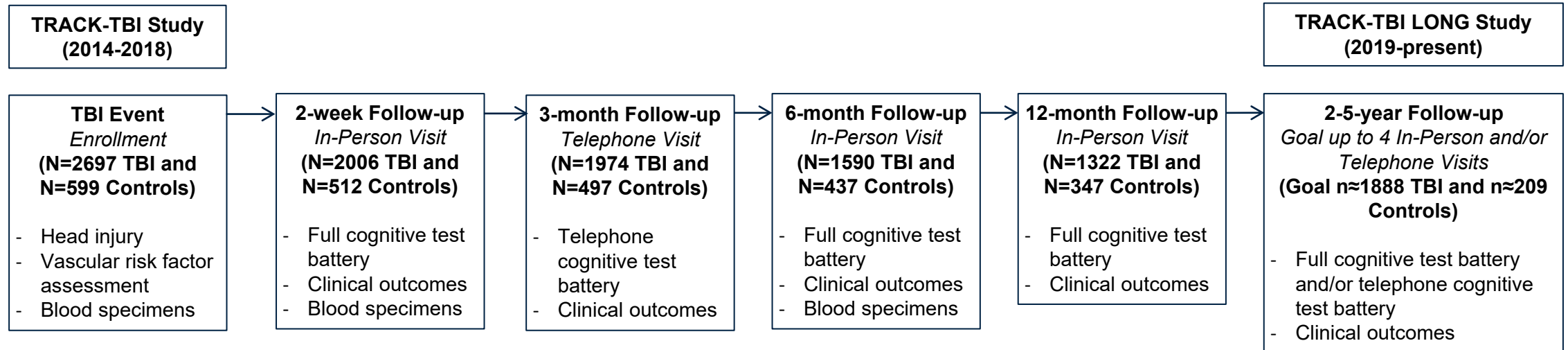
- ▶ Traumatic Brain Injury (TBI): Associations with Long-Term Outcomes
- ▶ Cognitive Trajectories and Cognitive Outcomes in the Early Post-TBI Time-Period
- ▶ Cognitive Trajectories and Dementia Risk in the Late Post-TBI Time-Period
- ▶ Biomarkers and Associations of TBI with Dementia Risk: Insights into Disease Mechanism

# Cognitive Trajectories After Traumatic Brain Injury (2)



# Transforming Research and Clinical Knowledge in TBI (TRACK-TBI) Study

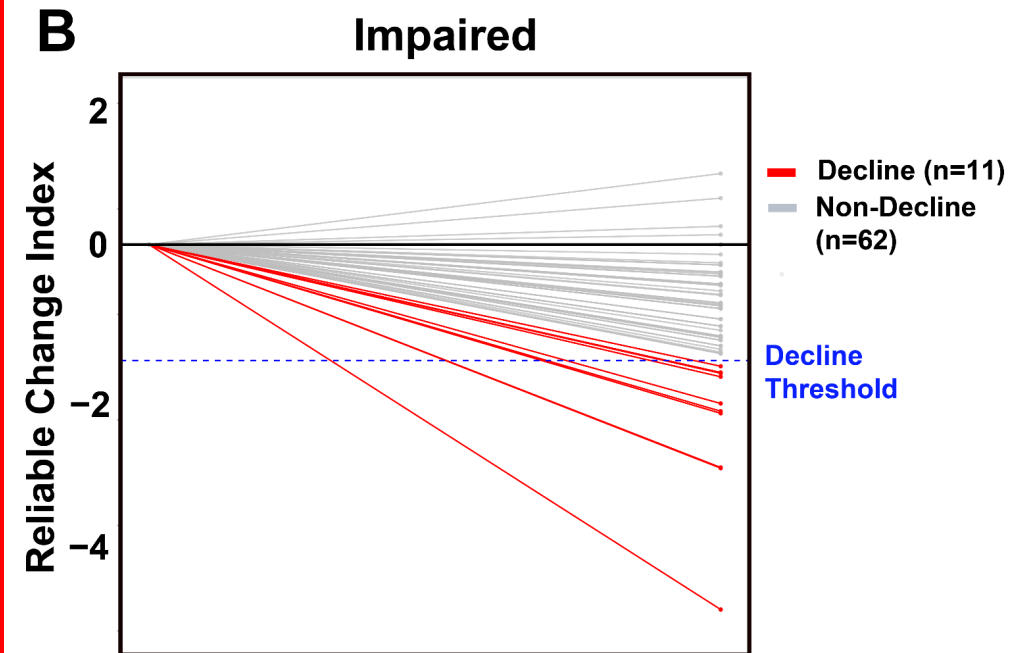
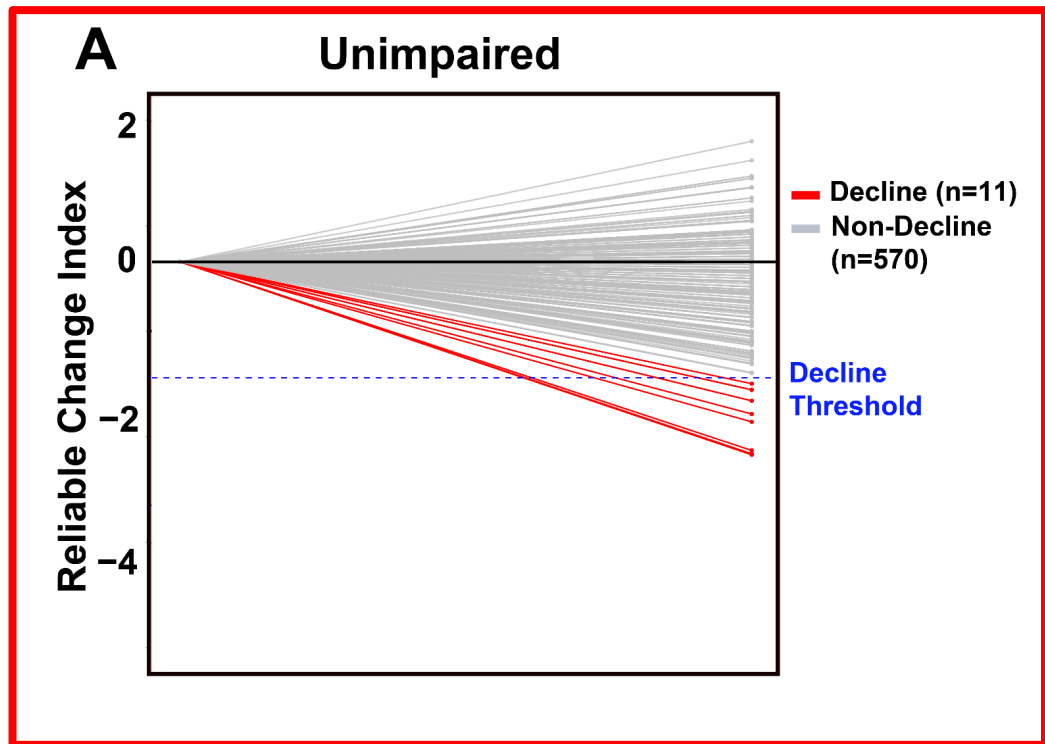
*On-going cohort of acute traumatic brain injury patients aged 16-100 years (and orthopedic injury and friend controls) recruited from 18 Level 1 trauma centers in the United States*



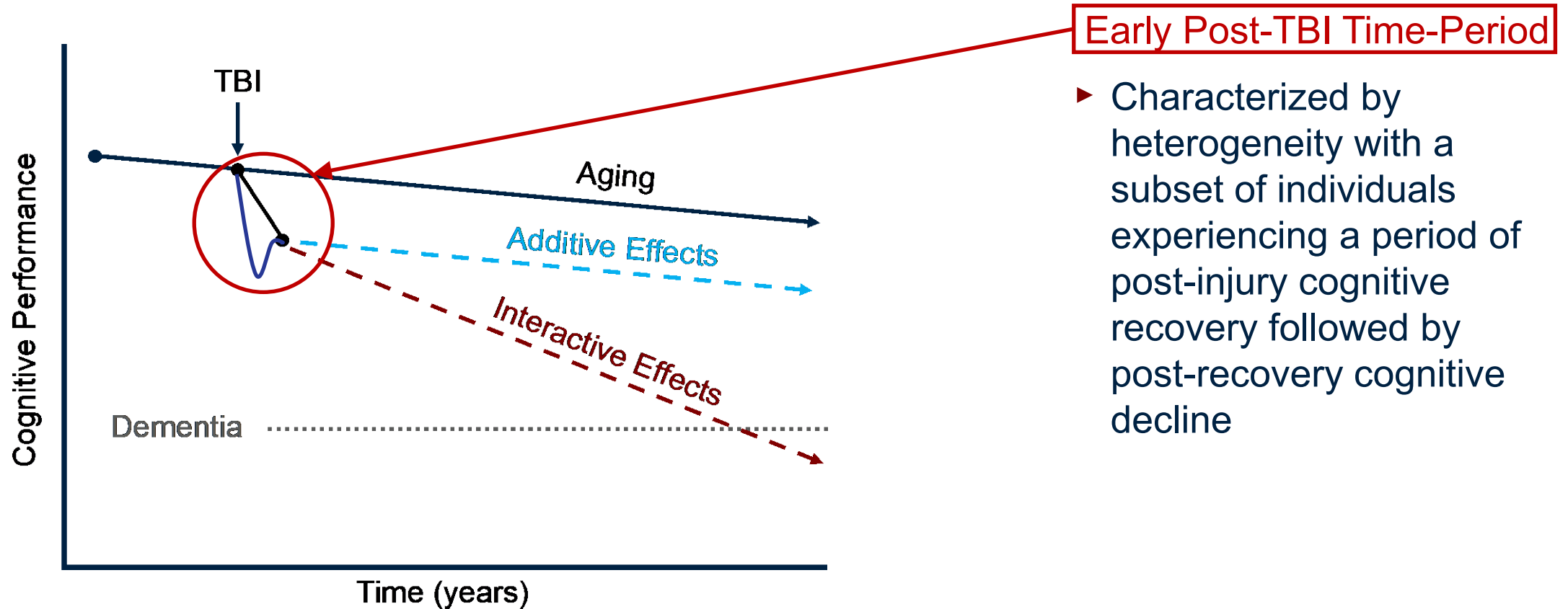


# Cognitive Outcome One Year After Traumatic Brain Injury

- ▶ 13.5% of TBI patients versus 4.5% of controls had a poor 1-year cognitive outcome



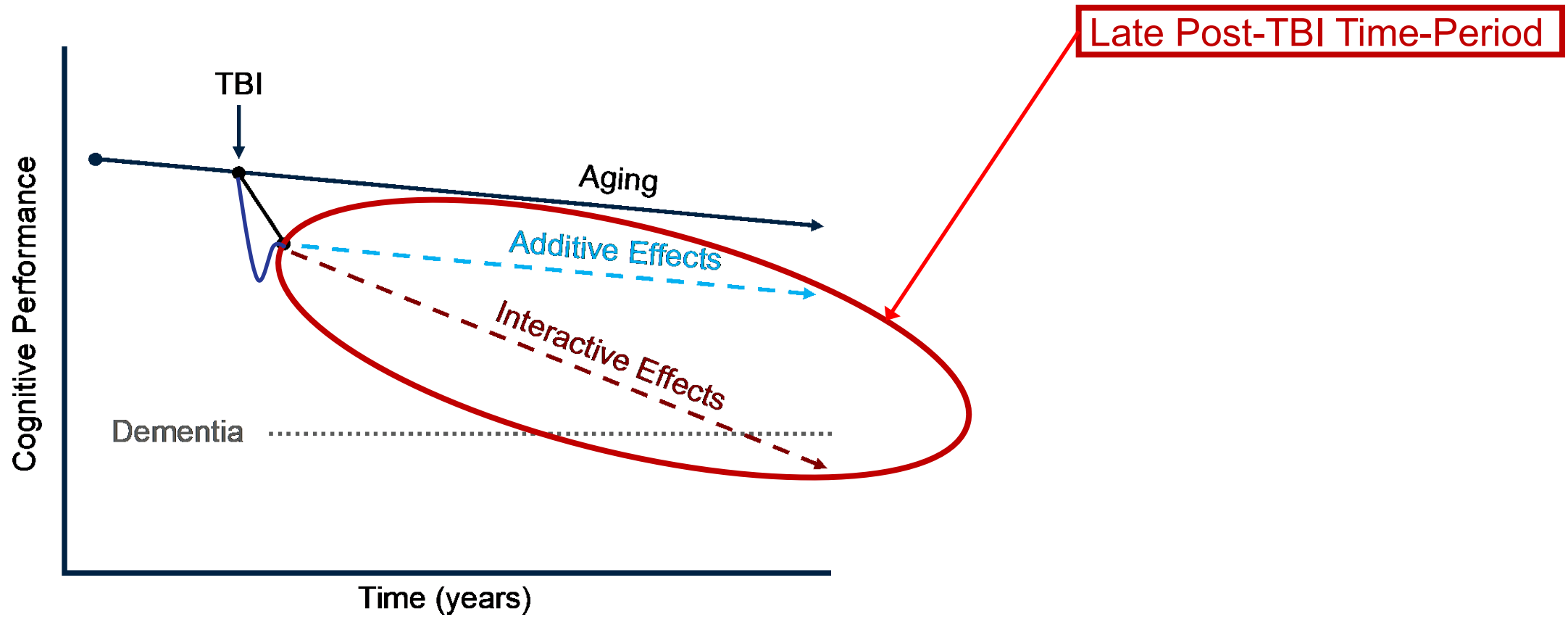
# Cognitive Trajectories After Traumatic Brain Injury (3)



# Outline

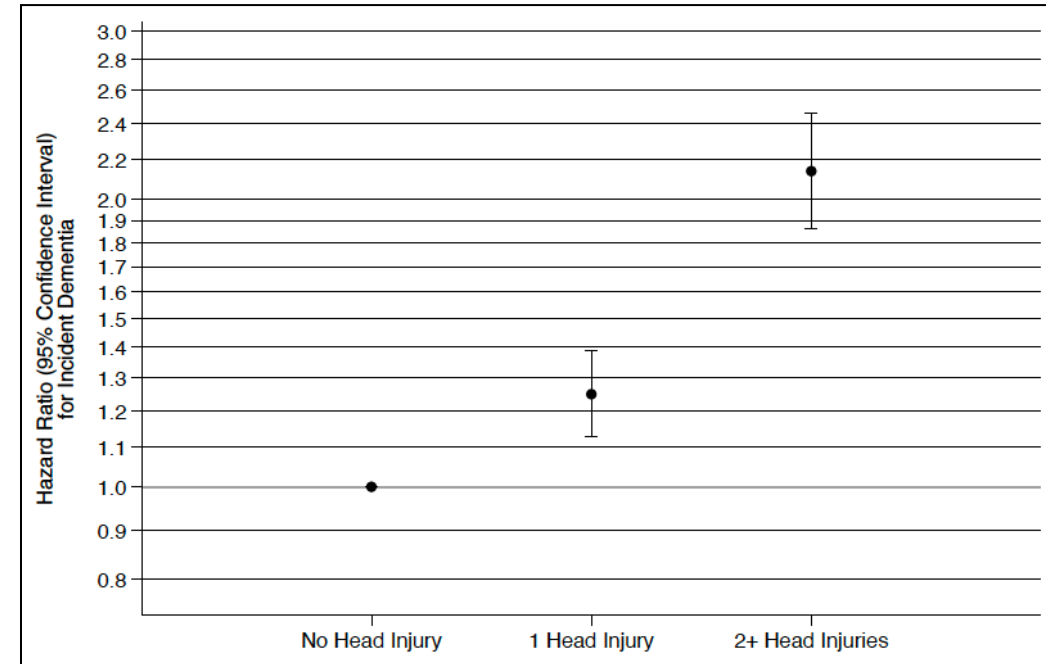
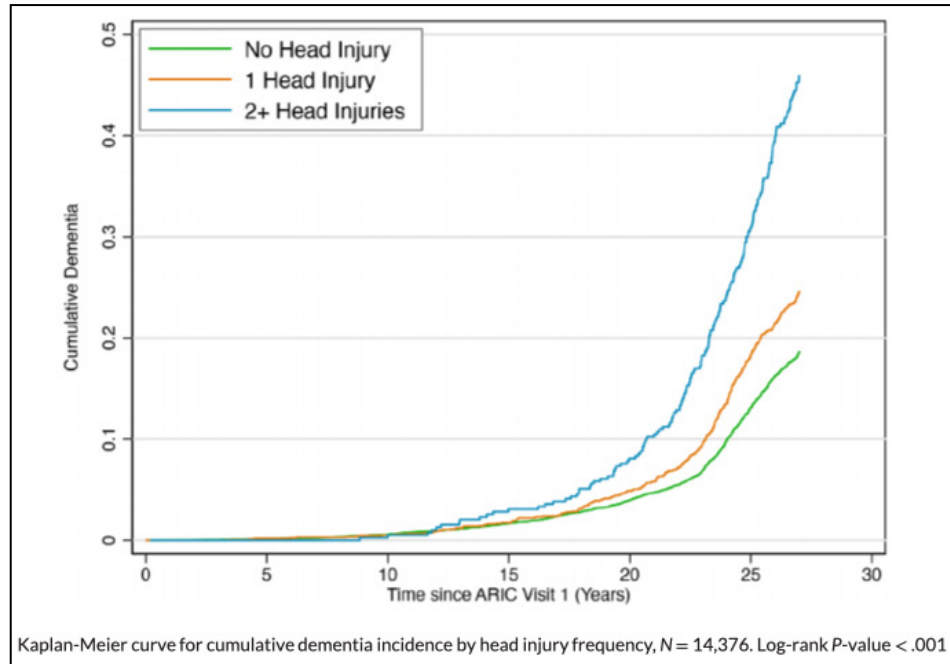
- ▶ Traumatic Brain Injury (TBI): Associations with Long-Term Outcomes
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- ▶ **Cognitive Trajectories and Dementia Risk in the Late Post-TBI Time-Period**
- ▶ Biomarkers and Associations of TBI with Dementia Risk: Insights into Disease Mechanism

# Cognitive Trajectories After Traumatic Brain Injury (4)



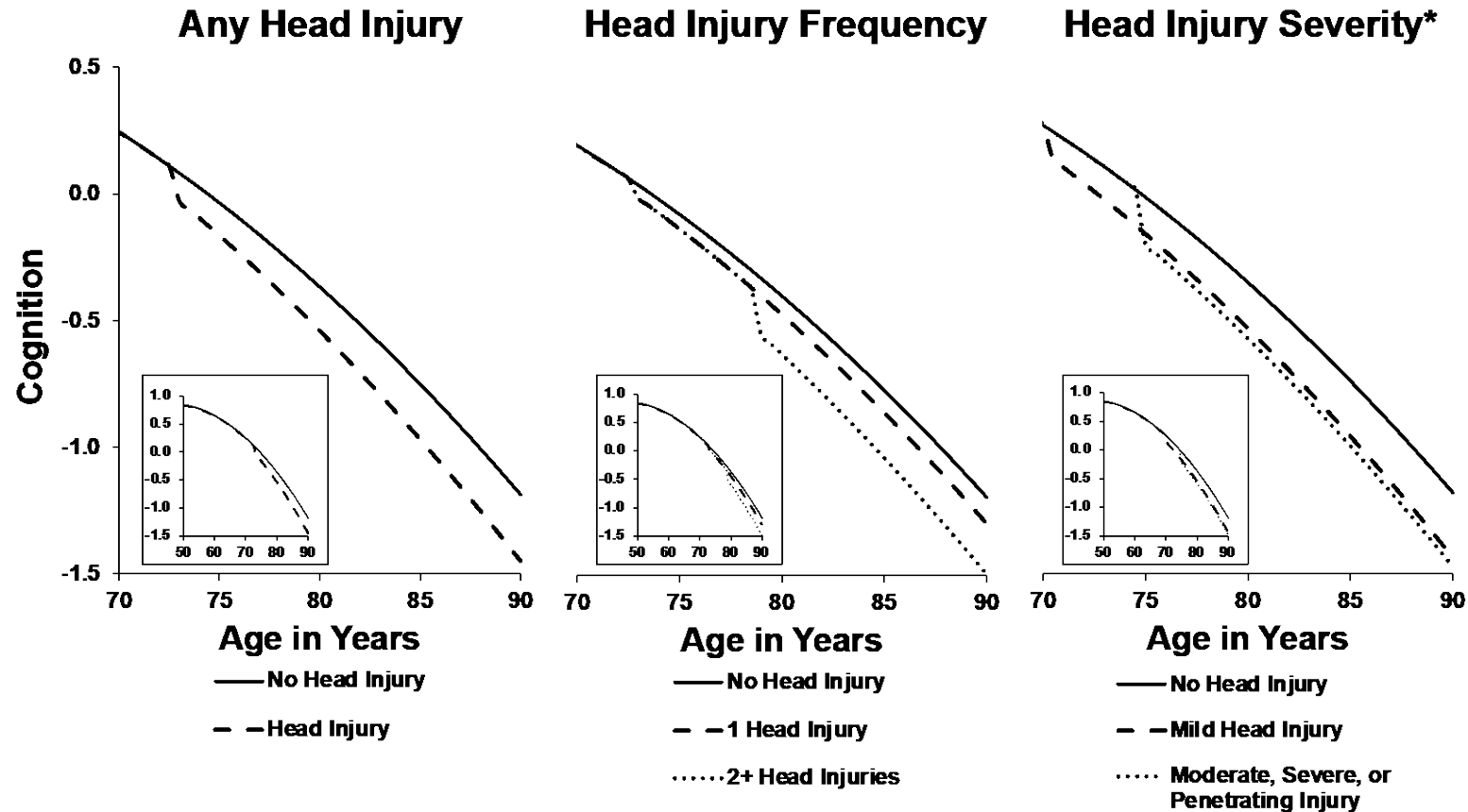
# TBI and Dementia Risk

- ▶ TBI was associated with 1.4 times the risk of dementia over a median of 25 years of follow-up among 14,376 ARIC study participants (24% with TBI)

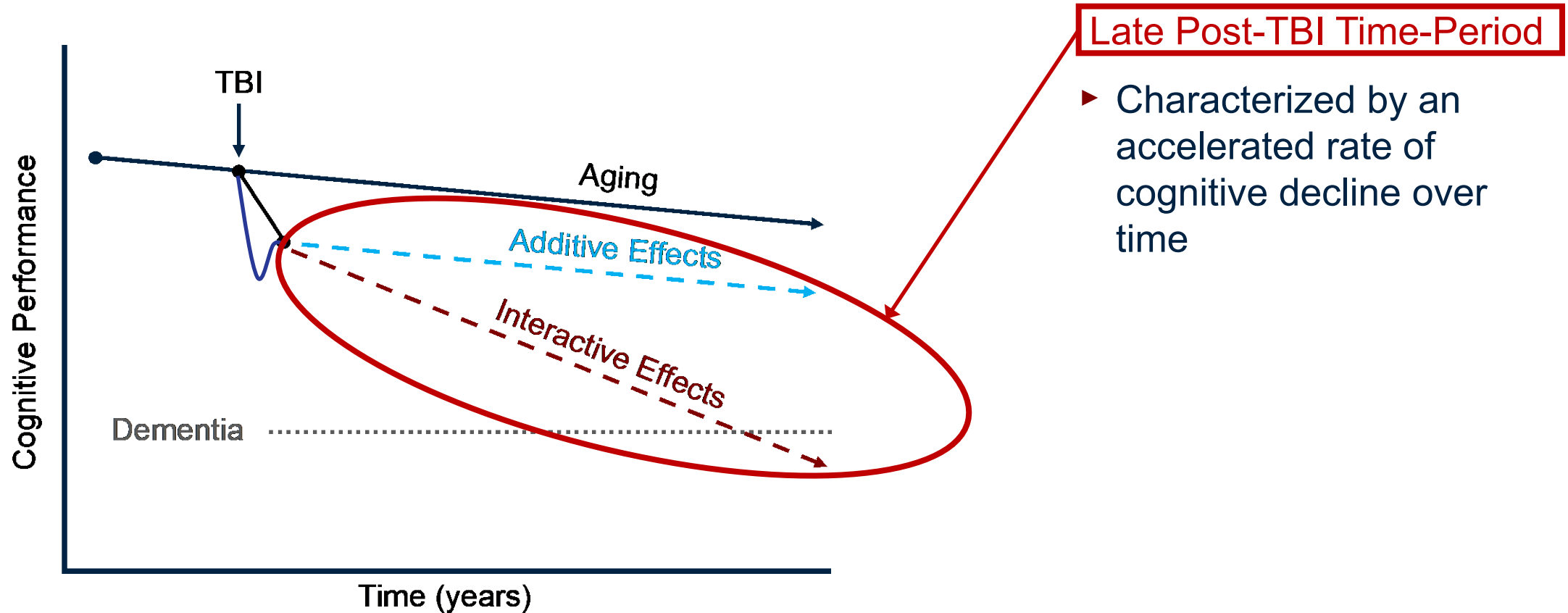


# Traumatic Brain Injury and 30-Year Cognitive Decline

- ▶ Over 30-years, the difference in cognitive decline between individuals with versus without TBI is equivalent to individuals with TBI being 7.4 years older at baseline



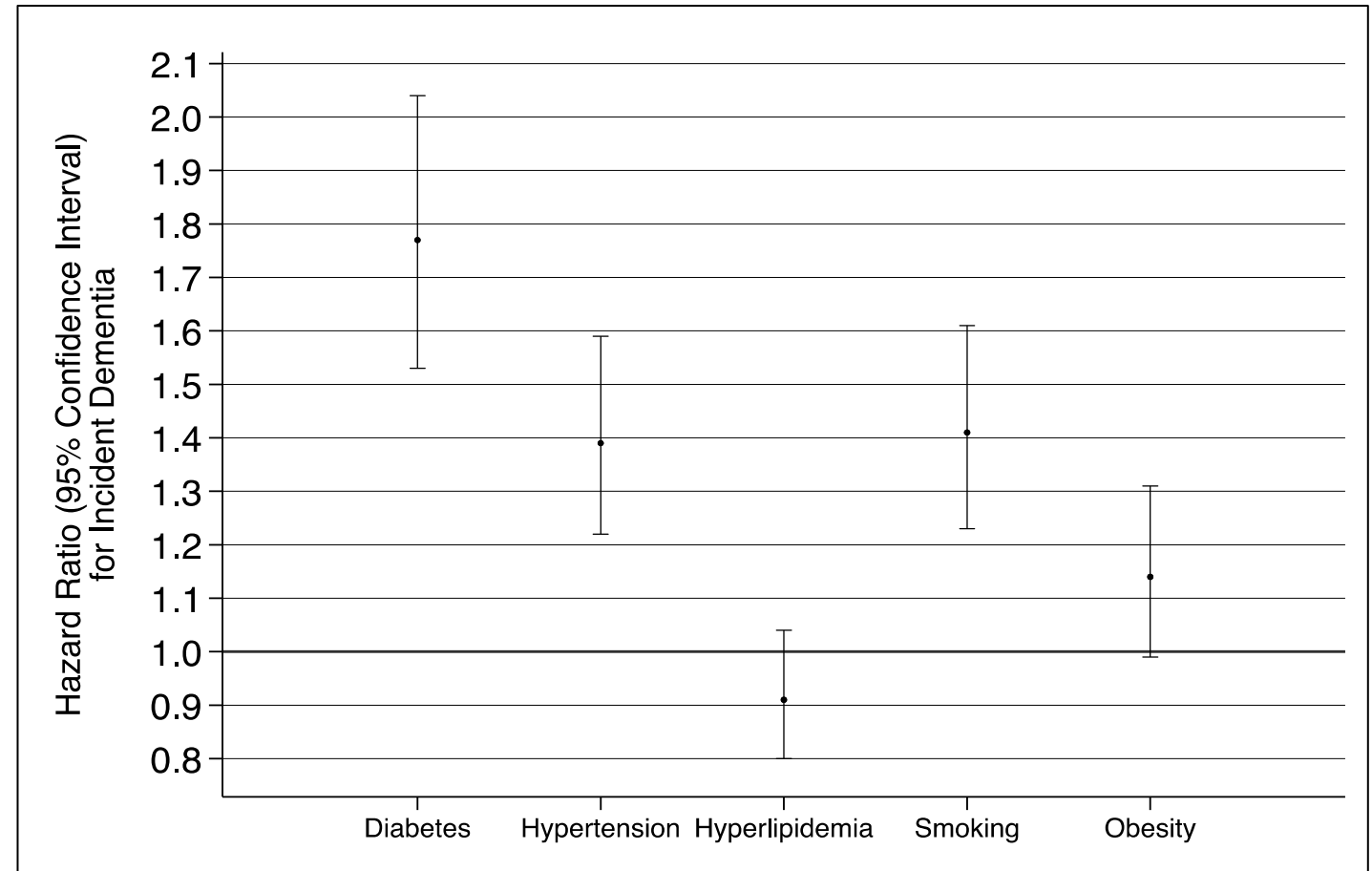
# Cognitive Trajectories After Traumatic Brain Injury (5)



# Vascular Risk Factors and Dementia Risk



- ▶ Among 15,744 participants aged 45-64 years at baseline (55% female, 27% black), diabetes, hypertension, and smoking were significantly associated with increased dementia risk over a median 25-years of follow-up.





# Traumatic Brain Injury, Vascular Risk Factor Comorbidities, and Dementia Risk



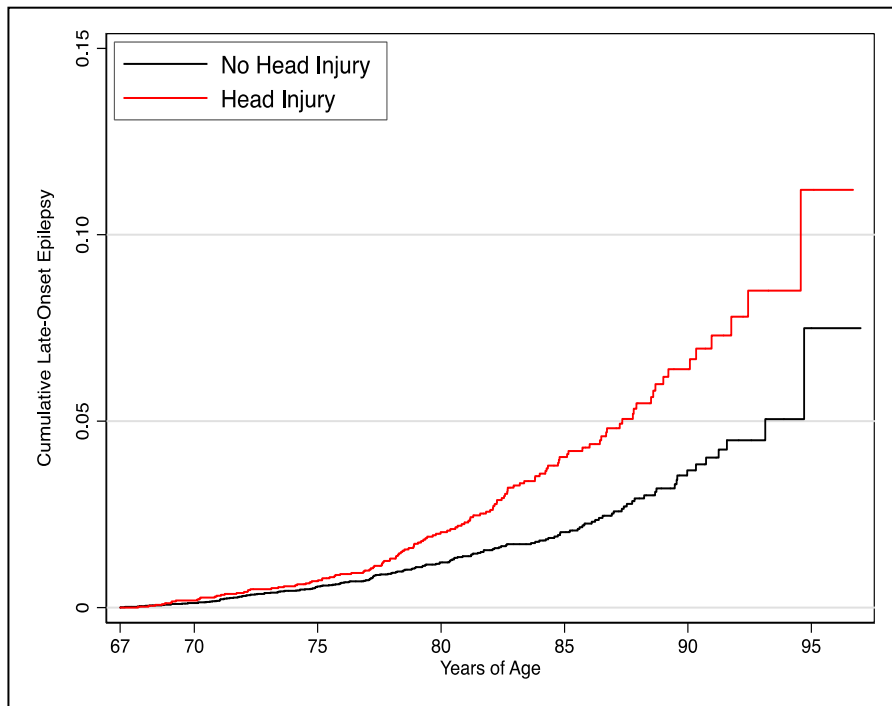
- ▶ Among 14,376 white and black ARIC study participants followed for a median of 25-years, head injury in the presence of at least 1 vascular risk factor was associated with significantly higher risk of dementia compared to no head injury and compared to head injury in the absence of any vascular risk factor comorbidities.

	Hazard Ratio (95% Confidence Interval)
No head injury	1 (Reference)
Head injury and no vascular risk factors*	1.20 (1.03-1.41)
Head injury and 1+ vascular risk factors*	1.62 (1.47-1.79)

\*Vascular risk factors: diabetes, hypertension, smoking

# Post-traumatic Epilepsy

- ▶ Among 8,878 participants (aged 67+ years, 30% with prior TBI), TBI was associated with 1.9 times the risk of epilepsy over a median of 11 years

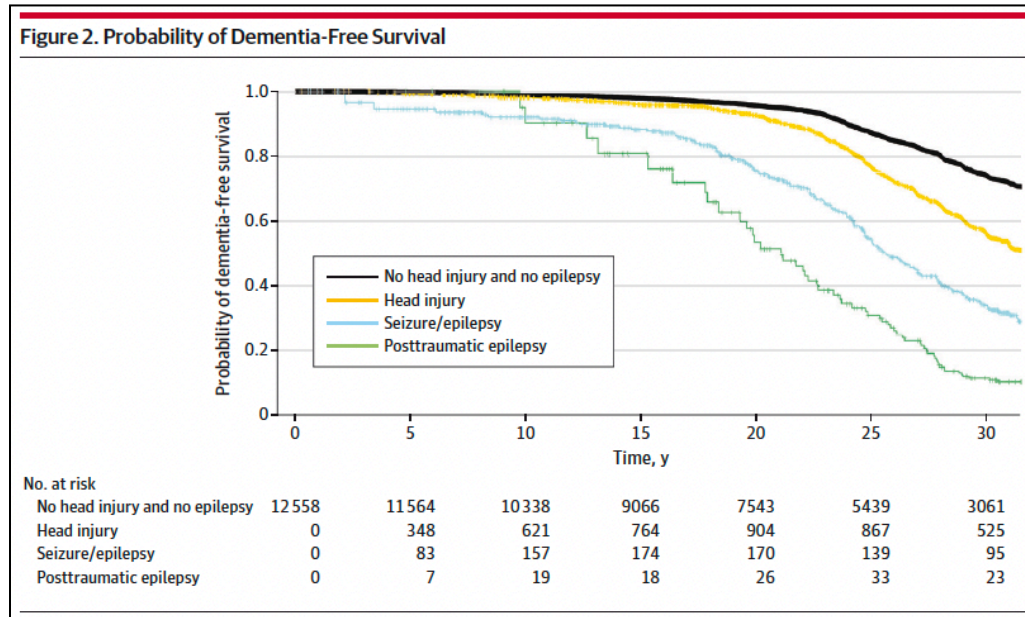


	Hazard Ratio (95% Confidence Interval)
Any TBI	1.9 (1.4-2.4)
Number of TBIs	
0 TBIs	1 (Reference)
1 TBI	1.4 (1.0-1.9)
2+ TBIs	3.6 (2.5-5.0)
TBI Severity	
No TBIs	1 (Reference)
Mild TBI	1.6 (1.2-2.2)
Moderate/severe TBI	3.4 (2.2-5.3)



# Post-traumatic Epilepsy and Dementia Risk

- Over a median of 25-years of follow-up of 12,558 individuals, post-traumatic epilepsy was associated with greater dementia risk than TBI or epilepsy alone

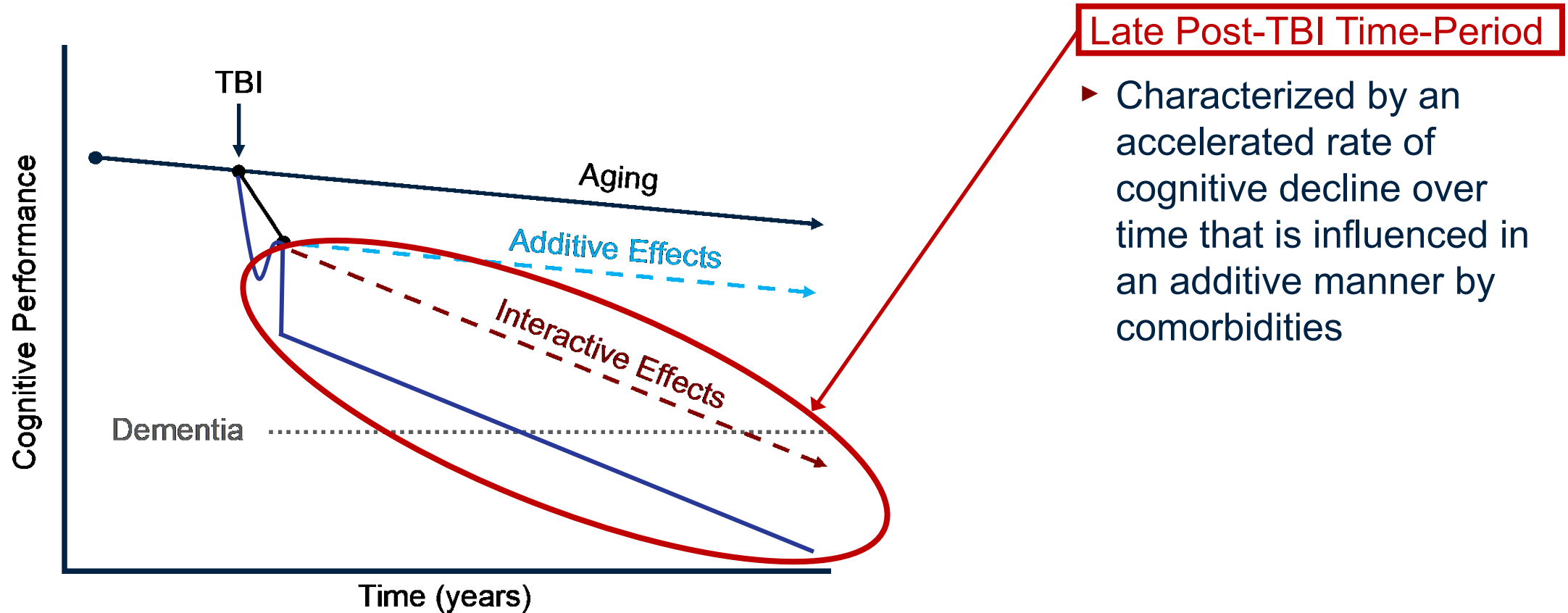


**Table 2. Associations of Head Injury, Seizure/Epilepsy, and Posttraumatic Epilepsy With Dementia Risk**

Characteristic	No head injury and no epilepsy	Head injury	Seizure/epilepsy	Posttraumatic epilepsy
No. of dementia cases/PYs	1796/226 858	489/19 024	156/3942	57/548
Unadjusted IR per 1000 PYs (95% CI)	7.92 (7.55-8.29)	25.70 (23.48-28.09)	39.58 (33.61-46.30)	103.93 (78.72-134.66)
<b>Cox proportional hazards models, HR (95% CI)</b>				
Model 1 <sup>a</sup>	1 [Reference]	1.64 (1.48-1.82)	2.81 (2.39-3.31)	4.85 (3.72-6.33) <sup>b,c</sup>
Model 2 <sup>d</sup>	1 [Reference]	1.63 (1.47-1.80)	2.77 (2.35-3.26)	4.78 (3.66-6.25) <sup>b,c</sup>
<b>Model 3<sup>e</sup></b>	<b>1 [Reference]</b>	<b>1.63 (1.47-1.80)</b>	<b>2.61 (2.21-3.07)</b>	<b>4.56 (3.49-5.95)<sup>b,c</sup></b>
<b>Fine-Gray proportional hazards models accounting for competing risk of death, HR (95% CI)</b>				
Model 1 <sup>a</sup>	1 [Reference]	1.70 (1.55-1.86)	1.62 (1.40-1.88)	3.04 (2.42-3.81) <sup>b,c</sup>
Model 2 <sup>d</sup>	1 [Reference]	1.69 (1.54-1.85)	1.66 (1.43-1.93)	3.04 (2.42-3.83) <sup>b,c</sup>
<b>Model 3<sup>e</sup></b>	<b>1 [Reference]</b>	<b>1.71 (1.56-1.87)</b>	<b>1.61 (1.38-1.87)</b>	<b>3.00 (2.38-3.76)<sup>b,c</sup></b>



# Cognitive Trajectories After Traumatic Brain Injury (6)



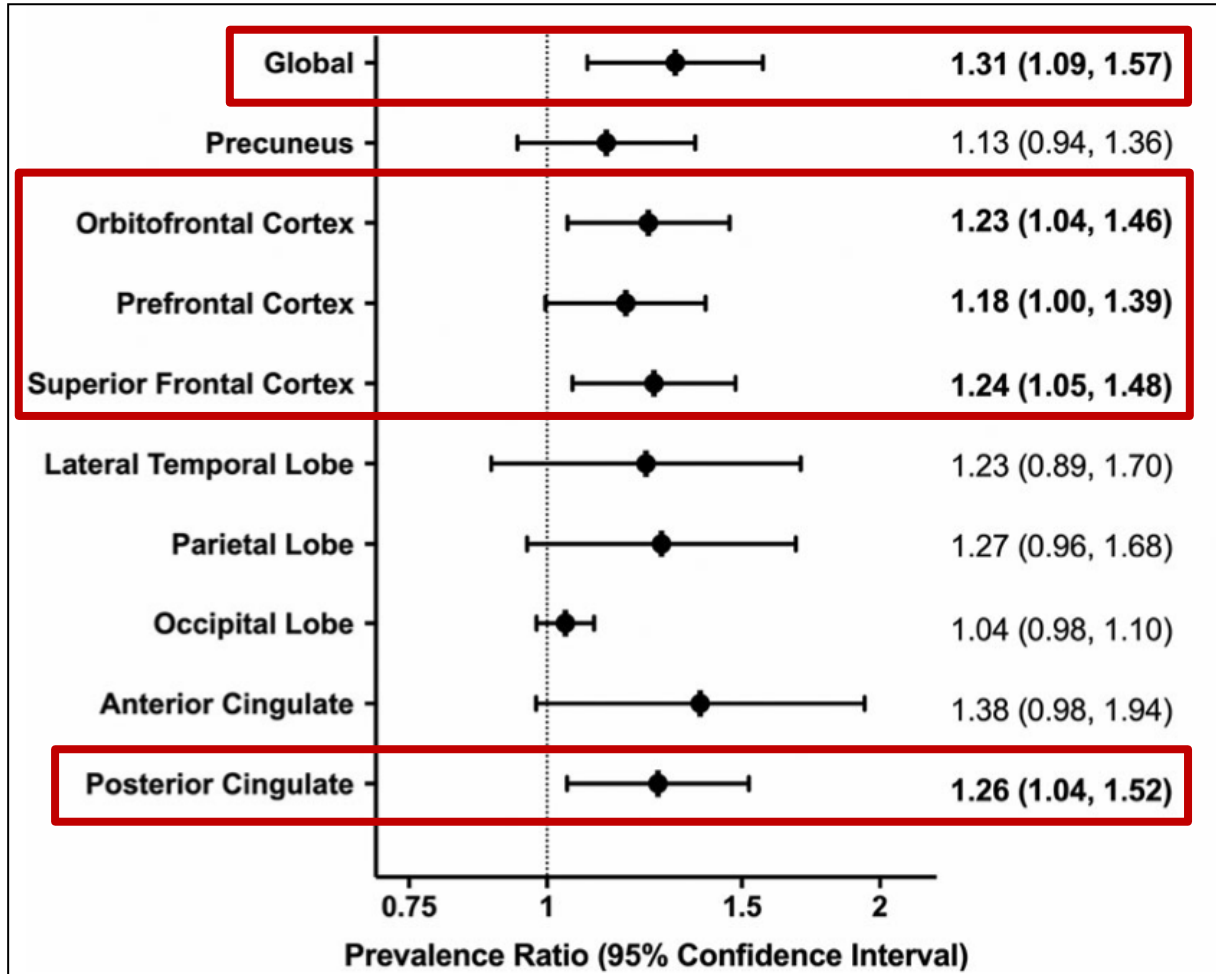
## Late Post-TBI Time-Period

- ▶ Characterized by an accelerated rate of cognitive decline over time that is influenced in an additive manner by comorbidities

# Outline

- ▶ Traumatic Brain Injury (TBI): Associations with Long-Term Outcomes
- ▶ Cognitive Trajectories and Cognitive Outcomes in the Early Post-TBI Time-Period
- ▶ Cognitive Trajectories and Dementia Risk in the Late Post-TBI Time-Period
- ▶ Biomarkers and Associations of TBI with Dementia Risk: Insights into Disease Mechanism

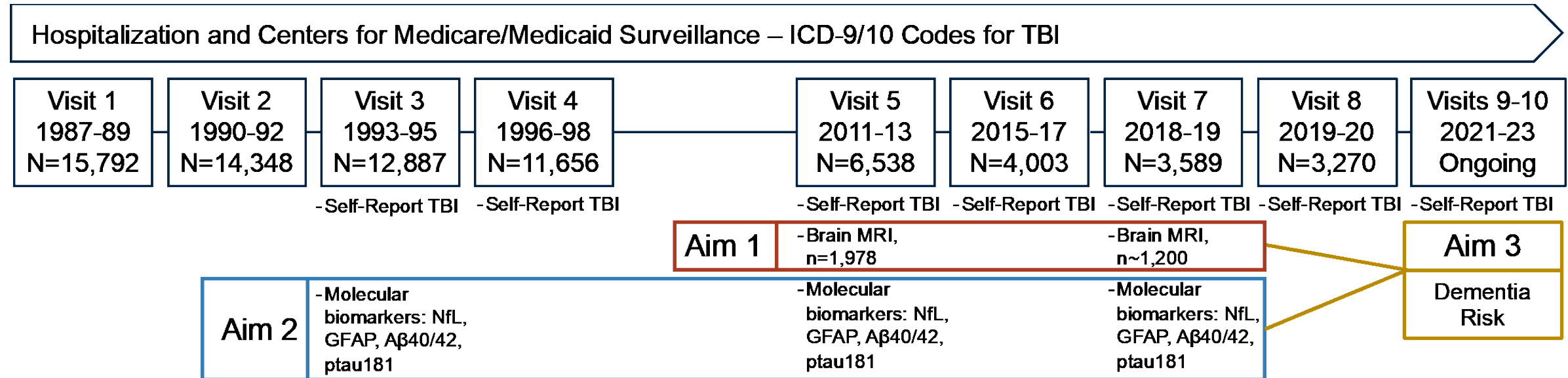
# Traumatic Brain Injury and Brain Amyloid Deposition



- ▶ Cross-sectional analysis of 329 individuals without dementia (24.6% with prior TBI)
- ▶ Brain amyloid deposition assessed using Flortetapir ( $^{18}\text{F}$ ) PET imaging
- ▶ Number of TBIs was associated with elevated brain amyloid deposition in a dose-dependent manner globally, and in the orbitofrontal, prefrontal, and superior frontal cortices

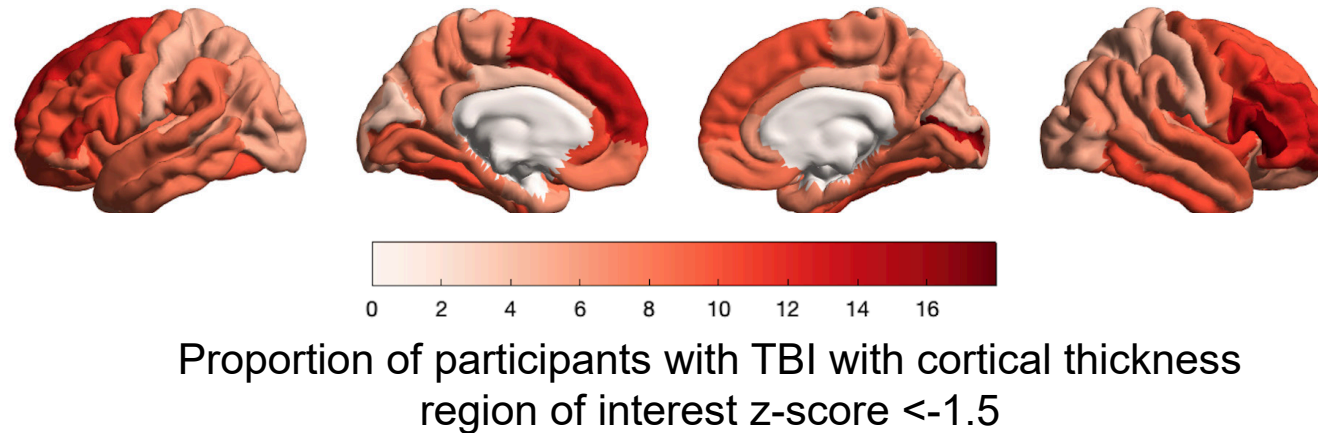


# Traumatic Brain Injury, Changes in MRI and Blood-Based Biomarkers Over Time, and Dementia



# Traumatic Brain Injury and MRI Biomarkers

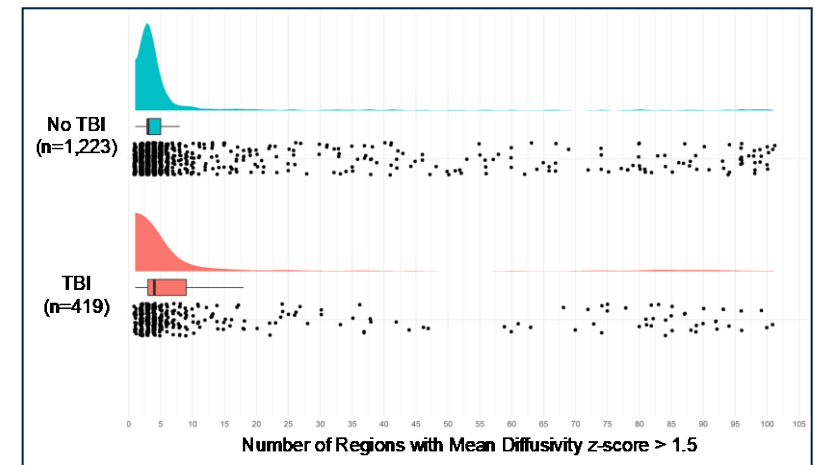
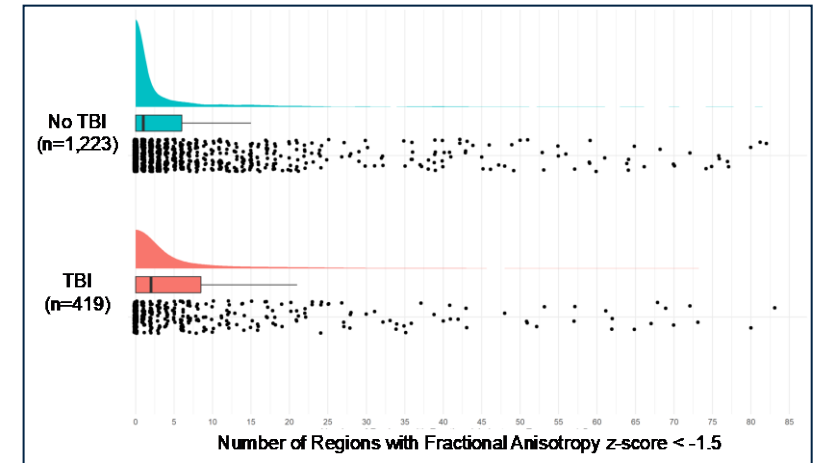
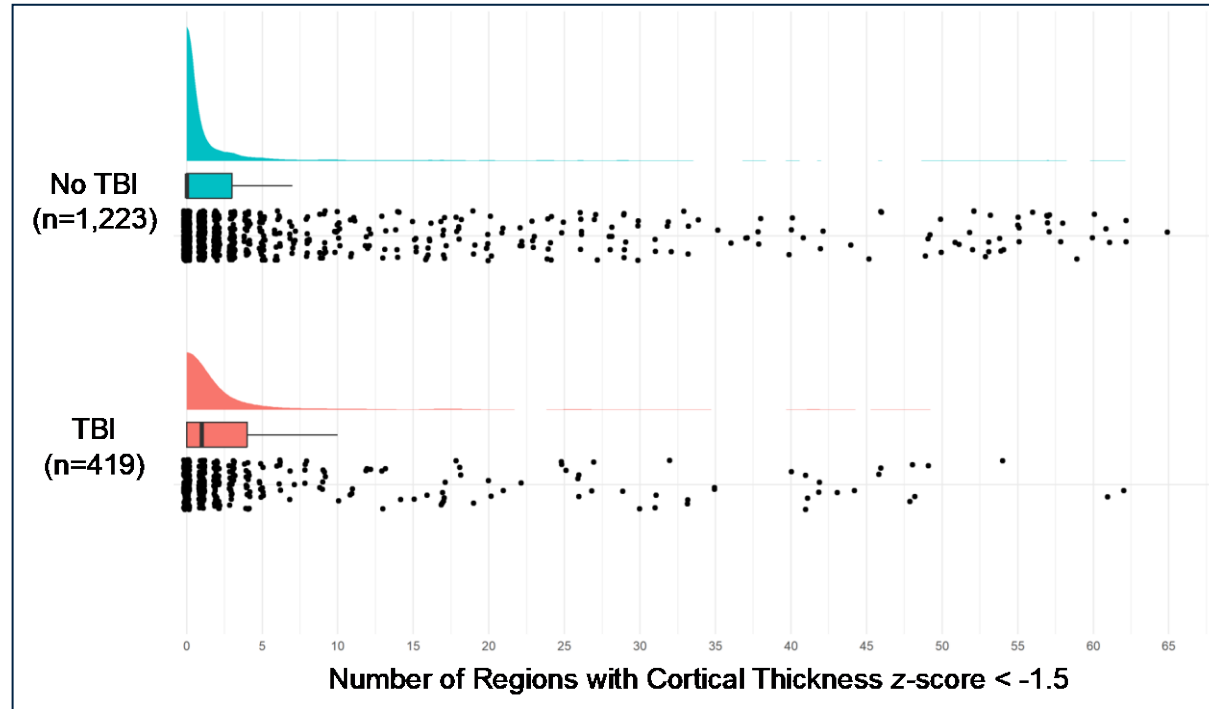
- ▶ Cross-sectional study of 1,642 participants attending ARIC Visit 5 in 2011-2013 (26% with TBI, MRI occurring a median of 38 years after first TBI)





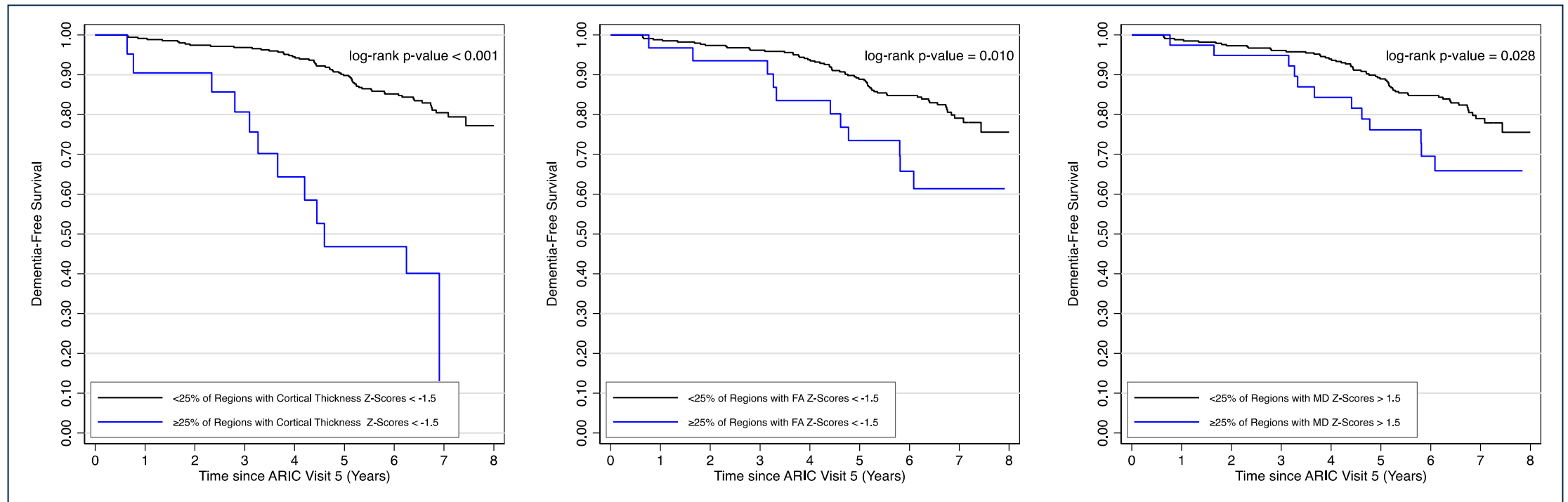
# Traumatic Brain Injury and MRI Biomarkers

- ▶ Cross-sectional study of 1,642 participants attending ARIC Visit 5 in 2011-2013 (26% with TBI, MRI occurring a median of 38 years after first TBI)

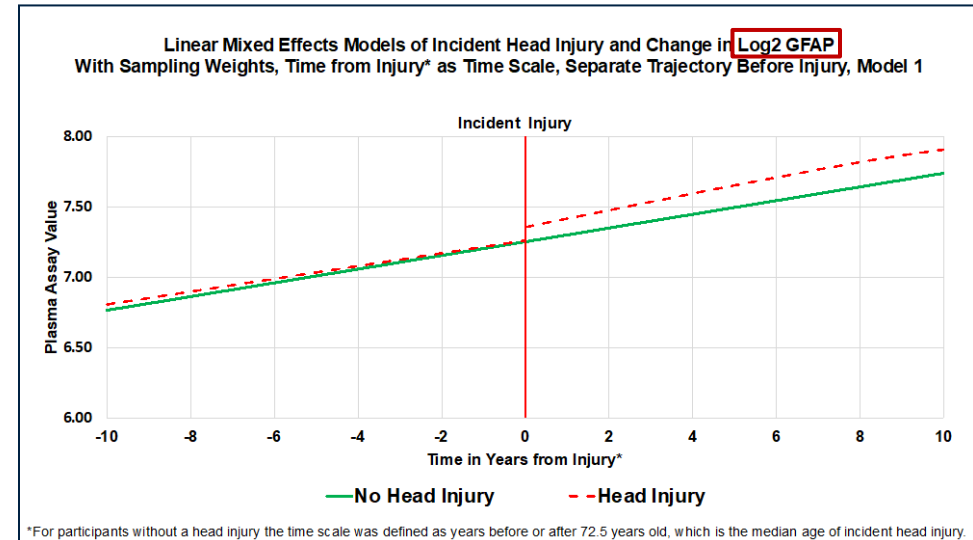
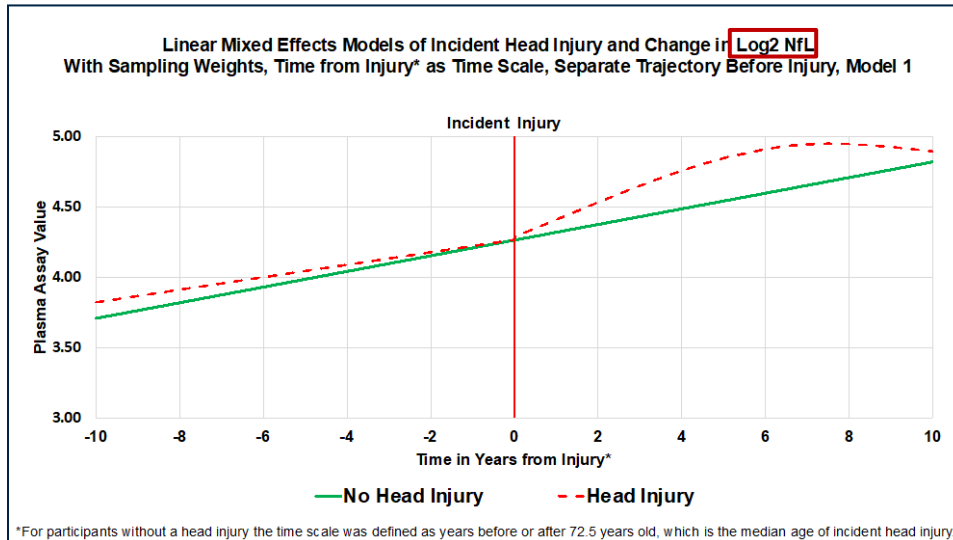
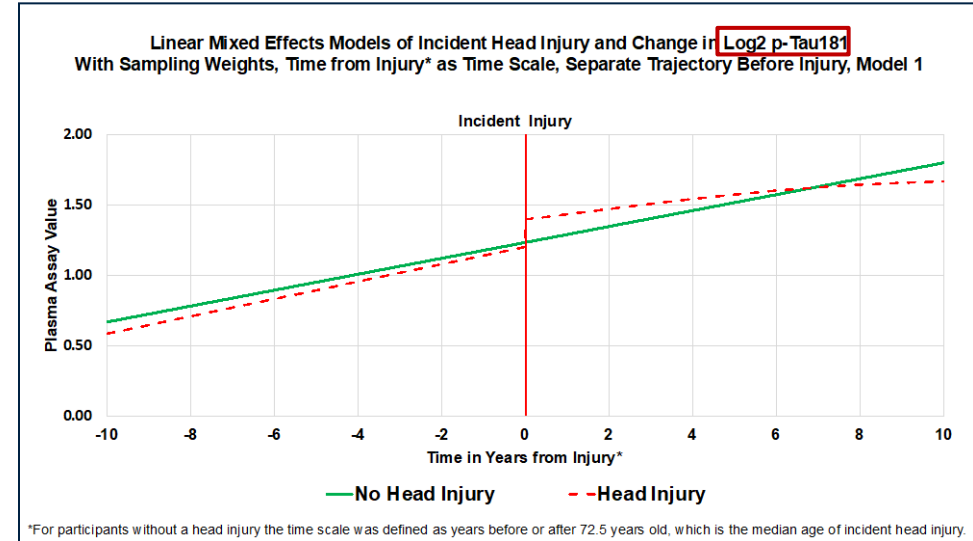
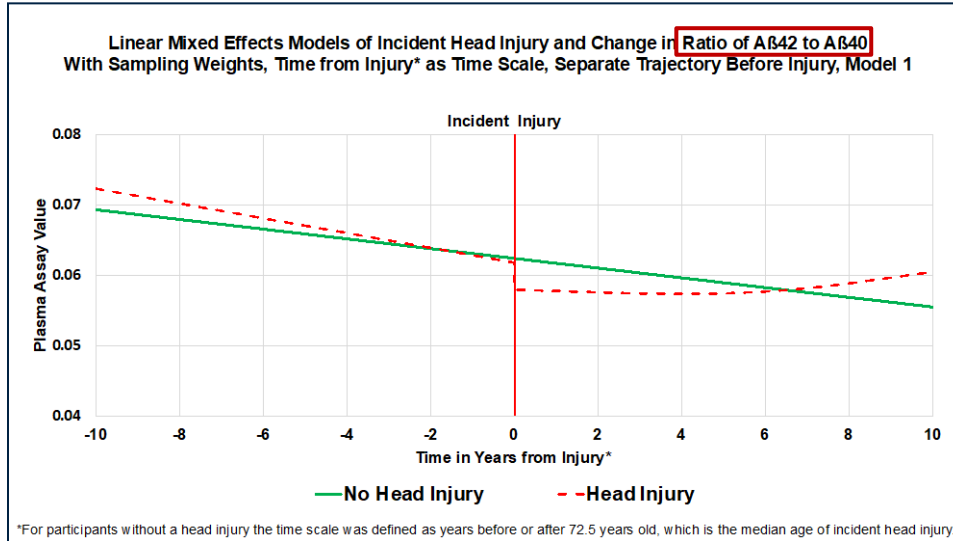


# Traumatic Brain Injury, MRI Biomarkers, and Dementia

- ▶ Prospective study of participants with prior TBI who attended ARIC Visit 5 in 2011-2013 and underwent a brain MRI with follow-up of a median of 8 years

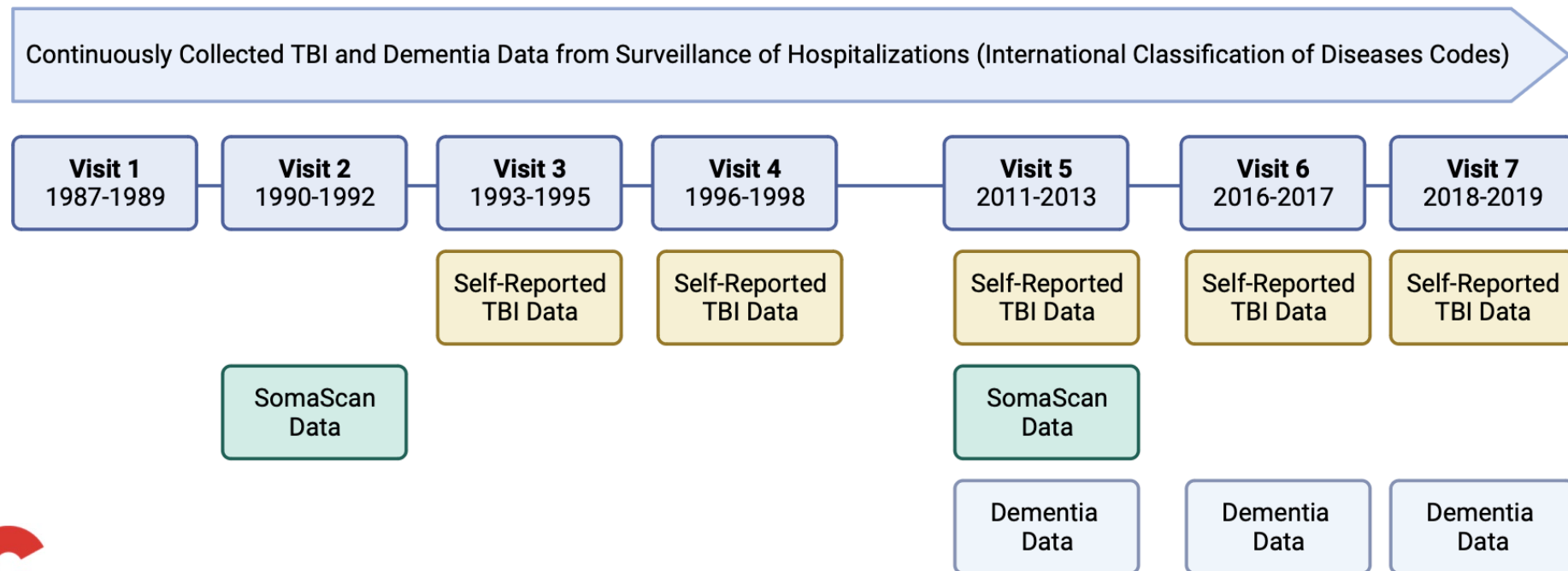


# Traumatic Brain Injury and Blood-Based Biomarkers



# Large-Scale Proteomics for Dementia Risk after TBI

- ▶ Aim: To determine if the plasma proteomic changes that occur prior to the onset of dementia are similar or different among individuals with versus without a history of TBI.
- ▶ These analyses will provide insight into if TBI accelerates ongoing neurodegenerative processes, if TBI represents a distinct neurodegenerative process, or a combination thereof.



# Conclusions

- ▶ Leveraging data from ongoing deeply phenotyped epidemiologic studies provides unique opportunities to provide nationally representative estimates of TBI burden, to study long-term outcomes of TBI, and to gain insights into disease mechanism
- ▶ TBI is common and is associated with significant mortality and morbidity, including cognitive impairment and dementia
- ▶ Cognitive trajectories after TBI are characterized by a period of post-injury improvement followed by post-recovery decline with continued accelerated long-term decline that is influenced in an additive manner by comorbidities
- ▶ However, patterns of post-TBI cognitive trajectories are heterogenous, and future work dedicated to the early prediction of patients with poor cognitive outcomes will be important for future studies focused on disease mechanism, prevention, and treatment

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# Thank You!

- ▶ Please reach out to me if you have any questions or are interested in collaboration!
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