



Tobacco Regulatory Science Program (TRSP) Career Development Awards in Tobacco Regulatory Research K01 and K99/R00

Application Information

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RFA

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Types of Funding Opportunity Announcements (FOAs):

Type of FOA	Description
Parent Announcements	<ul style="list-style-type: none">Broad FOAs allowing applicants to submit an investigator-initiated application for a specific activity codeMany NIH institutes and centers (IC) participateUsually ongoing (3 yrs)Use standard due dates <p>List of Parent Announcements</p>
Program Announcements (PAs)	<ul style="list-style-type: none">FOAs issued by one or more Institutes and Centers to highlight areas of scientific interestEncourage applications for a new or ongoing programUsually ongoing (3 years)Use standard due datesTypes of PAs: <p>PAS: with set-aside funds PAR: special receipt, referral, and/or review considerations</p>
Requests for Applications (RFA)	<ul style="list-style-type: none">FOAs issued by one or more Institute or Center to highlight well-defined areas of scientific interest to accomplish specific program objectives <p>(Make sure the science you are proposing fits the scope of the RFA!)</p> <ul style="list-style-type: none">Indicate the amount of set-aside fundsIndicate anticipated number of awardsUsually single due dateInstitute/Center usually convenes review panel

<https://grants.nih.gov/grants/how-to-apply-application-guide/prepare-to-apply-and-register/understand-funding-opportunities.htm>

Mentored Career Development Award: K01

PURPOSE: provide support and protected time to continue training and conduct research, in order to transition to an independent research position.

- Pursuing a new research area that warrants additional mentored research experience; or in need of substantial augmentation of current skills
- In need of **three, four, or five years** of mentored support
- Can devote a minimum of **75% of full-time** professional effort
- Appropriate and committed mentor(s)
- Appropriate and feasible training, career development, and research plans
- Goal to pursue NIH R01

NIH Pathway to Independence Award: K99/R00

PURPOSE: to increase and maintain a strong cohort of new and talented, NIH-supported, independent investigators.

This program is designed to

- to facilitate a **timely transition** of outstanding postdoctoral researchers from mentored, postdoctoral research positions to independent, tenure-track or equivalent faculty positions, and
- to provide independent NIH research support during junior faculty stage to help **launch competitive, independent research careers.**

NIH Pathway to Independence Award: K99/R00

K99 Phase (1-2) years – mentored phase

- Obtain additional research training
- Mentoring to transition to independent faculty position

R00 Phase (3 years) – independent phase

- Transition is dependent upon
 - Offer of a tenure-track or equivalent position
 - Competitive start-up package
 - K99 phase progress and R00 research plans
 - Transition is reviewed internally (NIH)

Career Development Awards for Tobacco Regulatory Science

	K01	K99/R00
Purpose	Supervised career development for individuals with doctoral degrees leading to research independence	Facilitates timely transitions from mentored postdoctoral training to independent (faculty) research position/independent research program
Budget (yearly)	Salary: up to \$90k Research: up to \$60k	K99: up to \$90k salary + \$60K research expenses, travel R00: \$249k total (salary, research expenses, travel)
Project Period	3-5 years	K99: up to 2 years, R00: 3 years
Renewable	No	No
Foreign Inst.	No	No
Eligibility	Never received major grant: R01, P01,	Have not completed 4 years of postdoctoral research training at time of application or resubmission; never received R03, R21, R01, etc.)
Mentor	Required, non-reimbursable	K99: required, non-reimbursable; R00: independent

Scored Review Criteria

Career Development Awards	Research Grants
Candidate	Investigator(s)
Career Development Plan/Career Goals & Objectives/Plan to Provide Mentoring	Innovation
Research Plan	Significance
Mentor(s), Co-Mentor(s), Consultant(s), Collaborator(s)	Approach
Environment & Institutional Commitment to the Candidate	Environment

How to Decide Which RFA to Apply to: Clinical Trial Required vs. Clinical Trial Not Allowed

If you can answer “YES” to the following four questions, apply to the “Clinical Trial Required” RFA:

1. Does the study involve human participants?
2. Are the participants prospectively assigned to an intervention?
3. Is the study designed to evaluate the effect of the intervention on the participants?
4. Is the effect being evaluated a health-related biomedical or behavioral outcome?

Studies intended solely to refine measures are not considered clinical trials. Studies that involve secondary research with biological specimens or health information are not clinical trials.

Resources to Clarify Information About Clinical Trials

- Interactive Decision Tool: <https://grants.nih.gov/ct-decision/>
- Case Studies: <https://grants.nih.gov/policy/clinical-trials/definition-clinical-trials.htm>
- FAQs:
https://grants.nih.gov/grants/policy/faq_clinical_trial_definition.htm
- Guide Notice: NOT-OD-15-015 -
<https://grants.nih.gov/grants/guide/notice-files/NOT-OD-15-015.html>

Understanding the Application, Review, and Award Cycle

Review and Award Cycles – EXAMPLE for K01

	DATE
Letter of Intent	30/60 days prior to application due date
Application Due Date	2018: July 19 & November 8 2019: July 19 & November 8
Scientific Merit Review	2018: October 2019: February & October 2020: February
<i>Summary Statement Release</i>	<i>30 days after review</i>
Advisory Council Review	January 2019
Earliest Start Date	April 2019

Tips for Success

- [Read the instructions.](#)
- Address all areas of the application (biohazards, attachments).
- Email the Program Officer/Scientific Review Officer if you have questions.
- Advisory Committees with application Letters of Support versus Letters of [Recommendation/Reference](#) submitted online for K99/R00 applications.
- [My Bibliography links/passwords.](#)
- Address all areas of rigor and transparency completely.
- Post-submission materials: [NOT-OD-17-066](#)

Utilize Resources on the TRSP Webpage

<https://prevention.nih.gov/tobacco-regulatory-science-program/funding-opportunities>



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Office of Disease Prevention

Search the ODP Site

Search



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Funding Opportunities

Current Funding Opportunity Announcements

In collaboration with the Center for Tobacco Products, NIH is increasing its portfolio to support the scientific need and a listing of funding opportunities that are currently accepting applications. Applications may also be submitted for review. Refer to the [Research Priorities](#) page for more information. Applicants should work with their NIH Program Officials or [Contact Us](#).

For a complete list of funding opportunities, visit the [Archive of Funding Opportunities](#).

Title	Announcement #	Receipt Date(s)
Mentored Research Scientist Career Development Award in Tobacco Regulatory Research (K01 - Independent Clinical Trial Not Allowed)	RFA-OD-18-005 (K01)	July 19, 2018 November 8, 2018
	NOT-OD-18-187	July 19, 2019 November 8, 2019
Frequently Asked Questions (PDF - 228 KB, updated May 15, 2018)	Pre-Application Webinar Agenda	
K01 and K99/R00 Mechanisms Fact Sheet (PDF - 90 KB)	K Mechanism Pre-Application Webinar Registration	

TRSP Research Priorities

Studies supported by TRSP

RFA link

FAQs

K01 vs. K99/R00

END